



# Do Not Pay Business Center

## Fiscal Service Payment Initiatives for Federal Agencies

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# Agenda

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- Goals
- Background
- Tools Throughout the Payment Cycle
- Onboarding
- Success Stories
- Q & A



# DNP's Goals

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- Provide timely, accurate information that can be acted upon to aid in Agency verification processes.
- Provide clear and understandable information regarding the root cause and impact of improper payments to federally funded agency programs.
- Equip Agencies with the tools and analytics for informed decision making about potential fraud, waste and abuse.



# DNP Background

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- Why was the Do Not Pay Business Center established?
- What is our mission?
- The DNP Commitment



# OMB Circular A-123: Appendix C

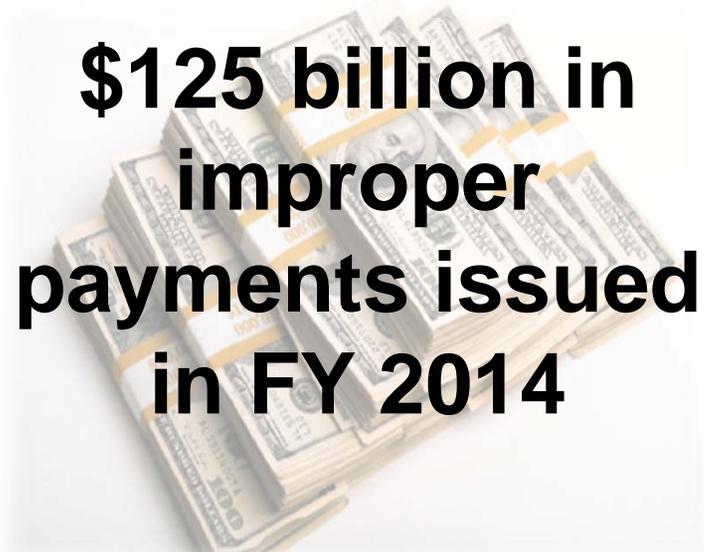
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## Causes of Improper Payments:

1. **Documentation and Administrative Errors**
2. **Authentication and Medical Necessity Errors**
3. **Verification Errors**

# Improper Payments

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**\$125 billion in  
improper  
payments issued  
in FY 2014**

## The Problem

All improper payments degrade the integrity of government programs

Reducing improper payments is a top priority

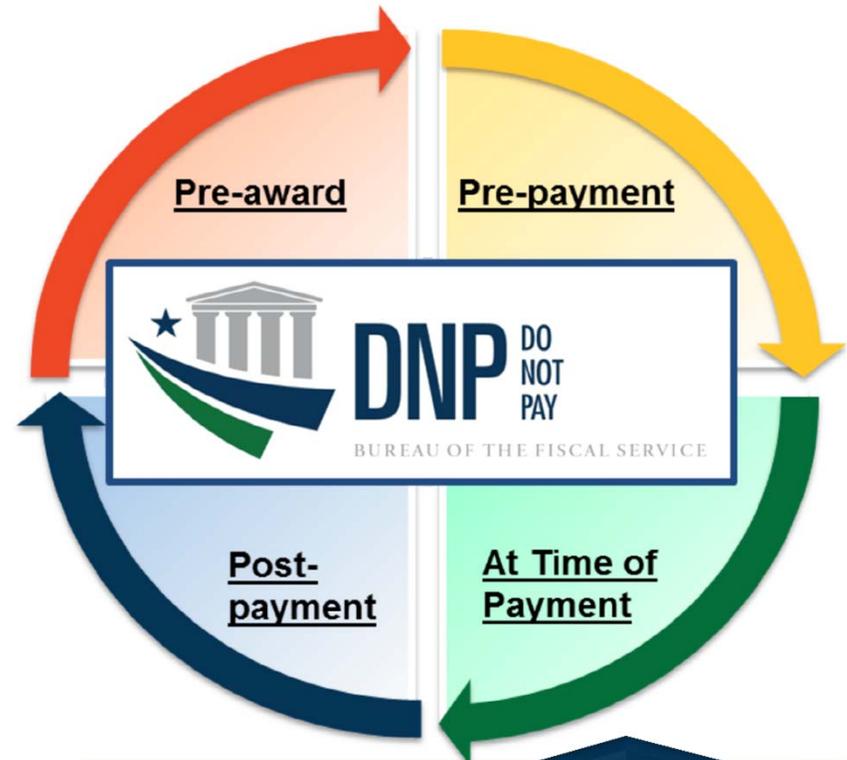
Not all improper payments represent a loss to the government and not all improper payments are fraudulent

# DNP - A Tool in an Agency's Toolbox



**\$125 billion in improper payments issued in FY 2014**

**The Problem**



**(part of) The Solution**

# Your New Toolbox

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# Multi-functional Analytics Tool

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*One tool supporting an agency's business processes and financial management controls*



Online Search

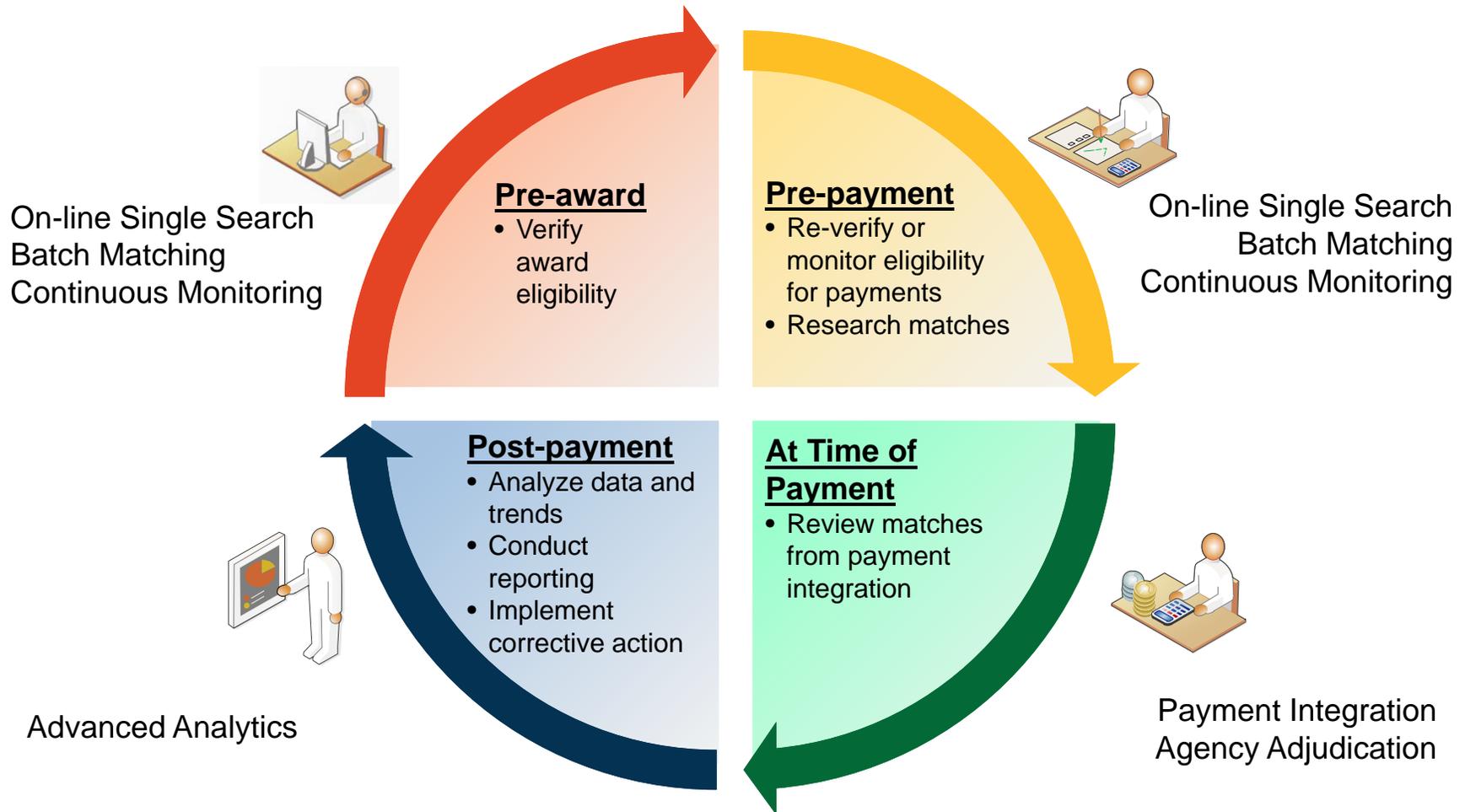
Batch Matching

Continuous Monitoring

Payment Integration

Advanced Analytics

# DNP throughout the Payment Lifecycle



# It's a Partnership - DNP

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## Role and Responsibility

- **DNP provides a centralized system that agencies can use to determine whether a payment is proper or improper**
  - more and better quality data
  - continuous system development
  - service enhancements
- **DNP does not:**
  - inform an agency whether a payment is proper or improper
  - stop payments UNLESS they are approved/established in conjunction with agency

# It's a Partnership - Federal Agency

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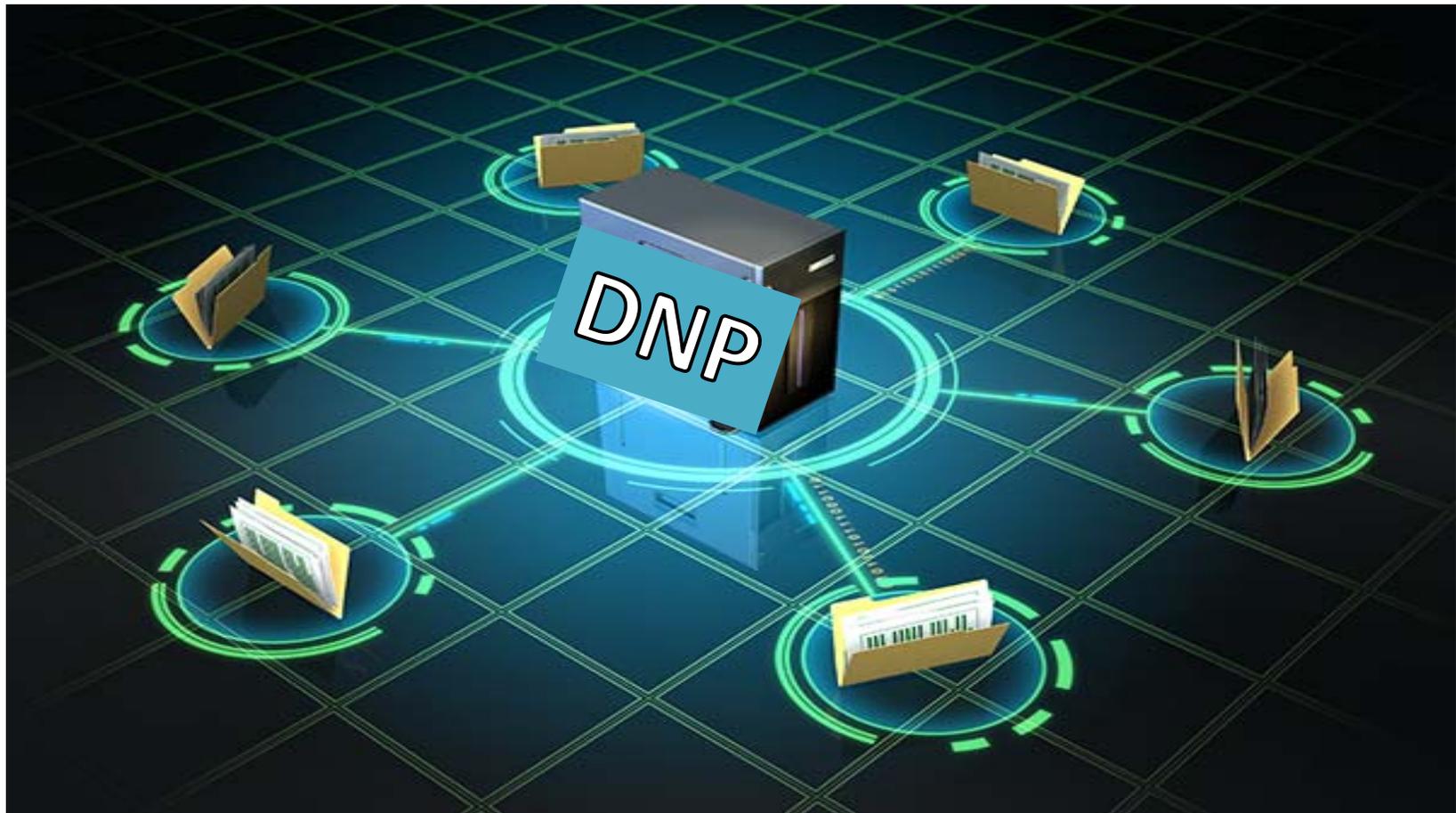
## Role and Responsibility

- **Adjudication** is the process by which agencies review the results of matches received from DNP and determine whether a payment is proper or improper.
- **Determination of “proper vs. improper”** are made consistent with existing laws, regulations, and guidance related to those particular payments.
- Agencies **can** work with DNP to establish business and stop payment rules.

# One-Stop Data Shop

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*Search multiple data sources in one easy-to-access online location*



# Data Sources Currently Available

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- **Credit Alert Verification Reporting System (CAIVRS) Restricted [SBA, VA]**
  - Identify individual as a delinquent Federal Borrower
- **Death Master File (DMF) Public [SSA]**
  - Verify whether an individual that is receiving unemployment payments is still living
- **List of Excluded Individuals & Entities (LEIE) Public and Restricted [HHS]**
  - Verify whether payments are to entities excluded from participating in Federal Health Care programs
- **Office of Foreign Assets Control (OFAC) Public [Treasury]**
  - Verify whether an individual or entity is prohibited from entering into financial transactions with US financial institutions and the US government
- **System for Award Management (SAM) Entity Registration Records, Restricted [GSA]**
  - Verify that a vendor seeking to do business with the federal government has registered, in accordance with the Federal Acquisitions Regulation (FAR)
- **SAM Exclusion Records Public & Restricted [GSA]**
  - Identify providers, individuals, or vendors that are excluded from doing further business with the government or should be subject to more oversight based on past performance
- **Treasury's Offset Program (TOP) Debt Check, Restricted [Treasury]**
  - Verify whether payee owes delinquent non-tax debts to federal government (and participating states)

# Governmentwide Usage of DNP

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## Agencies use the data sources in a variety of ways:

- **Traditional Onboarding Process**
  - Portal (Batch, Con Mon, and single on line search)
  - Advanced Analytics
- **Payment Integration Process**
  - Matches payments you already send to Treasury and provides results in the Portal
- **Treasury's Automated Standard Application for Payments (ASAP)**
  - DNP is integrated with ASAP
- **Treasury's Invoicing Processing Platform (IPP)**
  - DNP is integrated with IPP
- **Shared Service Providers (SSP)**
  - Some SSPs (e.g., Treasury's Administrative Resource Center (ARC)) call DNP on your behalf

# But I Have a Pre-award/Payment Process

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With DNP you have....



# Other Agencies Are Finding Success and So Can You!

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**Payments are being identified, stopped or recovered based on DNP matches**

**Agencies are preventing future improper payments with DNP's help**

- **Contract applicant identified on SAM Exclusion Records Restricted using DNP continuous monitoring was removed from an agency's approved vendor list**



## Top 10 Tech Innovations That Will Transform Society and Governance



**“New technologies can have revolutionary impacts with widespread and unexpected benefits. Technology can also serve as a tool to enable governments to better serve their citizens.”**

### **#8 – Do Not Pay Portal**

<http://www.brookings.edu/blogs/techtank/posts/2014/12/22-techtank-top-innovations-2014>

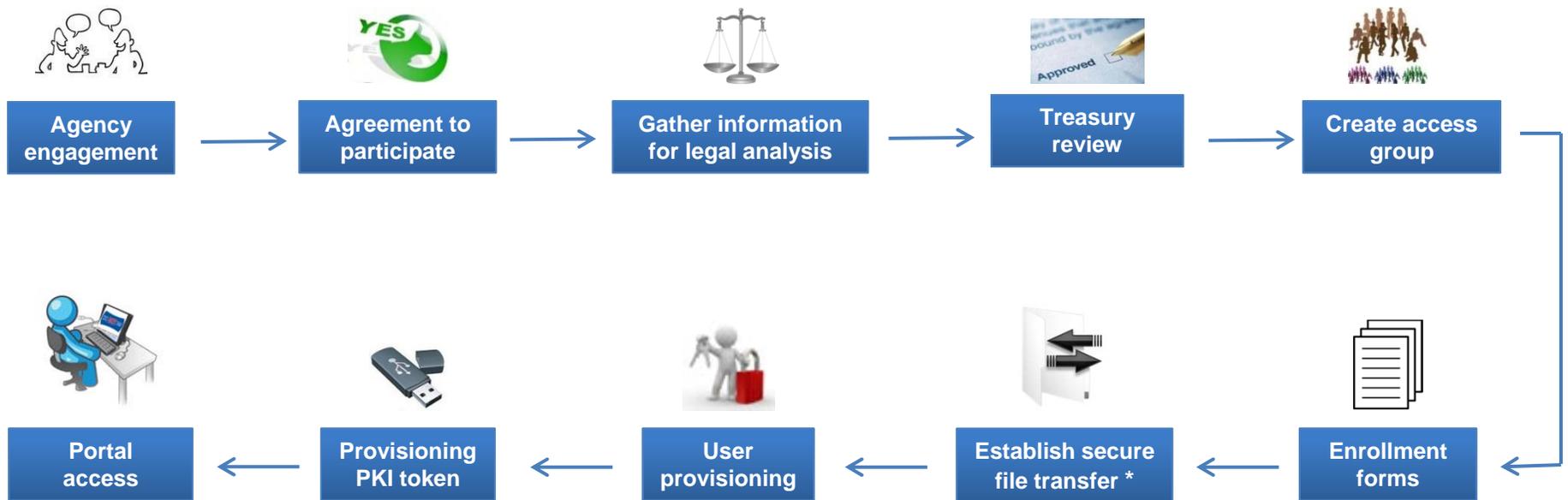


# Getting Started - Onboarding

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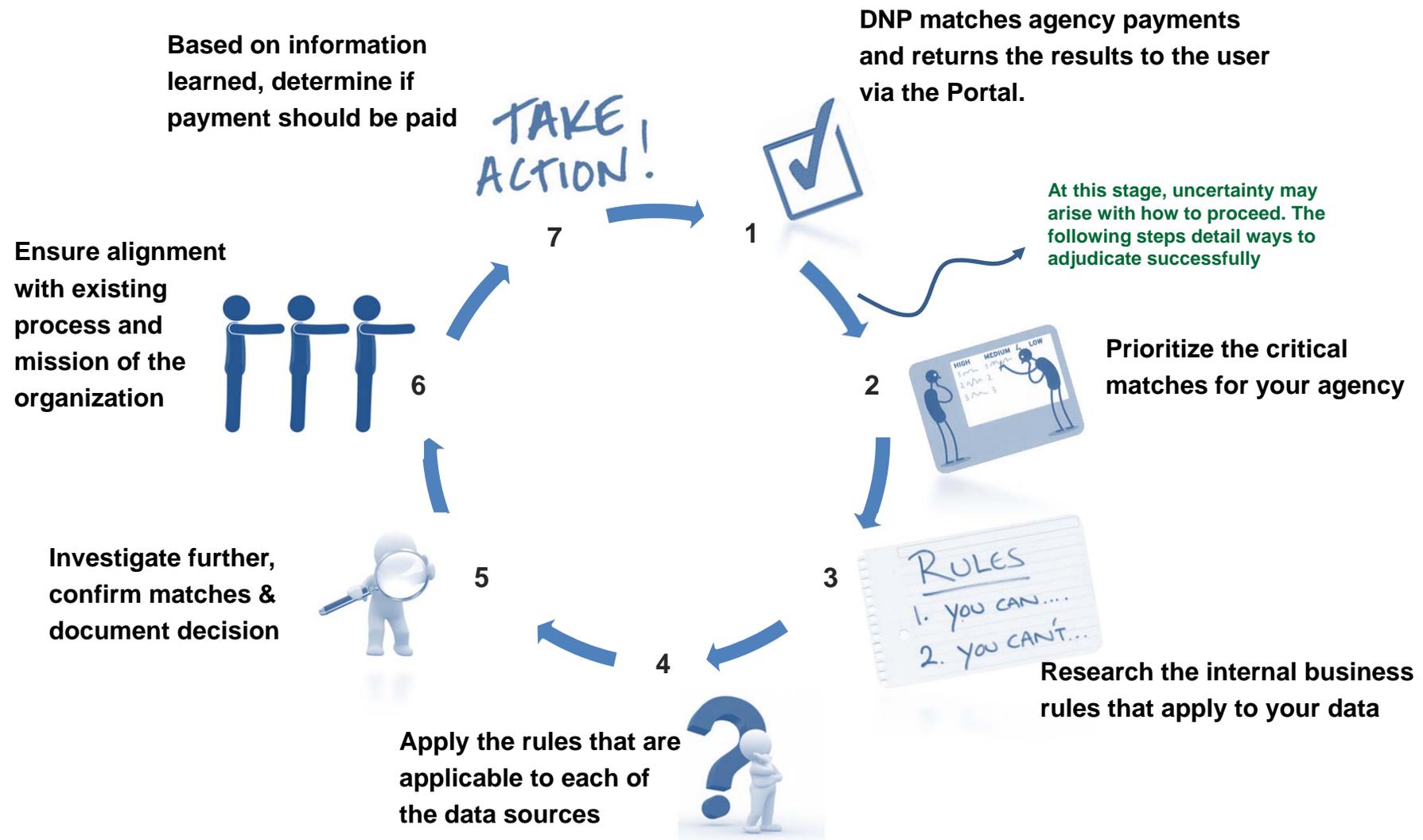


# Portal Onboarding Process



\* Applicable to Batch and ConMon onboarding

# Agency Adjudication Process



# Business Process Mapping

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## Strengthening Government-wide Financial Management Controls on Payments

- **Methodology – Review Current Processes**
- **Analyze Components**
- **Expected Outcomes**



# Questions

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# Contact Information

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Sign up for our mailing list to receive updates on new functionality and data sources or to schedule a demo.

<http://www.donotpay.treas.gov/MailingList.htm>