Welcome to Do Not Pay Day
July 23, 2019
# Do Not Pay Day Agenda

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
<th>Presenter(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>9:00 am - 9:15 am</td>
<td>Welcome and Introductions</td>
<td>Jon Ortiz/Dominique McCreary</td>
</tr>
<tr>
<td>9:15 am - 9:45 am</td>
<td>Agency Engagement</td>
<td>Angélique Bridges</td>
</tr>
<tr>
<td>9:45 am - 10:30 am</td>
<td>Portal Enhancements &amp; Demo</td>
<td>Dominique McCreary</td>
</tr>
<tr>
<td>10:30 am - 10:45 am</td>
<td><strong>BREAK</strong></td>
<td></td>
</tr>
<tr>
<td>10:45 am - 11:30 am</td>
<td>Analytics</td>
<td>Kim Derleth/Nicole Simmons</td>
</tr>
<tr>
<td>11:30 am - 12:00 pm</td>
<td>Payment Integrity Center</td>
<td>Kevin McDaniels</td>
</tr>
<tr>
<td>12:00 pm - 1:00 pm</td>
<td><strong>LUNCH</strong></td>
<td></td>
</tr>
<tr>
<td>1:00 pm - 1:45 pm</td>
<td>Data Sources</td>
<td>Derek Pachla</td>
</tr>
<tr>
<td>1:45 pm - 2:30 pm</td>
<td>CMA</td>
<td>Angélique Bridges</td>
</tr>
<tr>
<td>2:30 pm - 2:45 pm</td>
<td><strong>BREAK</strong></td>
<td></td>
</tr>
<tr>
<td>2:45 pm - 3:15 pm</td>
<td>Lessons Learned/Panel Discussion</td>
<td>Jon Ortiz</td>
</tr>
<tr>
<td>3:15 pm - 3:45 pm</td>
<td>Questions/Closeout</td>
<td>All</td>
</tr>
</tbody>
</table>
Do Not Pay Authorities

• The Improper Payments Elimination and Recovery Improvement Act of 2012 (IPERIA)
  – Established the Do Not Pay (DNP) Initiative
  – The Office of Management and Budget (OMB) designated Treasury to host a working system to assist agencies in identifying and preventing improper payments.
  – Treasury’s Bureau of the Fiscal Service (Fiscal Service) operates the DNP Business Center

• OMB Provides Direction:
  – OMB issues guidance for the DNP program but retains program authority
  – OMB must designate additional databases for use in DNP
The Federal Improper Payments Coordination Act of 2015 (FIPCA) expanded the IPERIA authority for the legislative and judicial branches, as well as states and other entities of the U.S. to use the Do Not Pay Initiative, including the Business Center, for the purpose of verifying payment or award eligibility for payments.

- States can access the DNP Initiative for purpose of verifying improper payments after OMB determines that DNP “is appropriately established” for that state (per FIPCA)
Do Not Pay Goals

Provide *timely, accurate, and actionable information* about payees and payments to assist agencies in identifying, preventing, and stopping improper payments.

Develop and assist agencies in implementing *cutting edge techniques* to protect the integrity of payments and awards.

*Innovate and introduce new tools and strategies* to assist agencies in identifying and preventing a wider variety of improper payments.

Provide *outstanding service* to DNP customers.
What is the DNP Business Center?

- A resource for federal agencies and federally funded state administered programs at no-cost to the agencies

- Centralized access to relevant data sources for verifying payment or award eligibility

- Customized data analysis to help agencies detect fraud waste and abuse and strengthen internal controls
Do Not Pay Business Center Benefits

- Helps agencies verify eligibility pre-award and pre-payment
- Streamlines data management
- Meets existing federal data security and privacy
DNP Business Center Components

**Web-based Portal**

The DNP Portal lets you search available data sources at no cost. The web-based portal has four ways to deliver match results to you: Online Search, Batch Matching, Continuous Monitoring, and Payment Integration.

**Data Analytics**

Data Analytics provides FREE advanced payment analysis services to federal agencies to help combat improper payments.

**Agency Support**

DNP support staff is here to help you get started, choose the options that are right for you, and to assist you with using the Do Not Pay Business Center.
Pre-award
Before you start to pay, search DNP to make sure it's okay to pay this person or company.

Pre-payment
Check again throughout the time this person or company is getting payments, or set up to monitor their eligibility. You can also research matches.

At Time of Payment
Agency payments submitted to Treasury via the PAM file are automatically matched against available death data sources through Payment Integration.

Post-payment
Use Data Analytics to get reports and see trends. Use the reports to fix any problems and improve your service.
Do Not Pay Portal

Free web-based Portal and central location for improper payment related data needs

Online Search
User can search an individual or entity and view matching records

Batch Match & Continuous Monitoring
User sends a file(s) of payees to be matched against DNP sources -- available as a one time or continuous match service

Payment Integration
Displays payments disbursed by Treasury that matched against DNP death data sources – user can review and/or adjudicate the matches
Available Data Sources

American InfoSource Death Data - Commercial
• Commercial data source which contains information on deceased individuals obtained from more than 3,000 funeral homes and thousands of newspapers, as well as county-level probate records

Department of Defense Death Records - Public
• Contains records of individuals in active or reserve military duty, including whether an individual is deceased

Department of State Death Records - Public
• Contains records of American Citizens who are deceased or presumed deceased in foreign countries, as reported by U.S. embassies or consulates upon its receipt of a foreign death certificate or finding of death by a local competent authority

Death Master File (DMF) - Public (SSA)
• Administered by the National Technical Information Services (NTIS). Contains records of deceased individuals as reported by family members, funeral homes, hospitals, federal agencies, postal authorities, and financial institutions. Public version does not include state-reported deaths

Credit Alert System (CAIVRS) - Restricted (DOJ, HUD, SBA, USDA, & VA)
• Contains records of individuals with federal debt in delinquent or default.
• Verify whether an individual is a delinquent federal borrower
Available Data Sources

Treasury Offset Program (TOP) Debt Check - Restricted (Treasury)
• Contains records of individuals whose debt has been referred to Debt Management Services for collection.
• Verify whether a payee owes delinquent non-tax debts to federal government (and participating states)

List of Excluded Individuals and Entities (LEIE) (HHS) – Public & Restricted
• Verify whether payments are to individuals and entities currently excluded from participating in federal health care programs

Office of Foreign Assets Control (OFAC) - Public (Treasury)
• Contains records of individuals, groups, and entities which are under sanction by the federal government and therefore ineligible for payment

System for Award Management (SAM) Entity Registration Records - Restricted (GSA)
• Contains records of individuals and businesses authorized to enter into contracts with the federal government
• Verify whether payments are to debarred individuals

SAM Exclusion Records – Public & Restricted - (GSA)
• Contains records of individuals and businesses currently debarred from entering into contracts with the Federal Government under specific exclusions or registered to conduct business with the federal government
• Verify that a vendor seeking to do business with the federal government has registered, in accordance with the Federal Acquisition Regulation (FAR)
Data Sources Available in Data Analytics

In addition to data sources available in the Portal, Analytics also has access to:

Internal Revenue Service Tax-Exemption Lists

- Contains records of organizations eligible and ineligible to receive tax-deductible charitable contributions and grants targeting tax-exempt entities.
  - 990-N (e-Postcard) – Authorized organizations with under $50,000 in contributions
  - Publication 78 – Authorized organizations with over $50,000 in contributions
  - Automatic Revocation of Exemption List – Organizations whose tax-exempt status has been revoked
DNP Analytics Services

• Flexible projects types
  – Standard & Custom projects

• Flexible to your data needs
  – Use DNP data
  – Use data from your systems
  – Ability to pilot data sources

• Flexible to your business rules
  – Utilize your matching logic
  – Incorporate your specific eligibility requirements
DNP Agency Support

• Provide customized Agency Outreach and Business Process solutions based upon agency needs:
  – Onboarding to Do Not Pay Portal
  – Training
  – Business Process Mapping
  – Focus Groups
  – User Community Events
    • Best Practices Forum
    • Grants Management Forum
    • DNP Pay Day
  – Help Desk Support
Onboarding Process

- DNP Overview Scheduled
- Submit Initial Questions Document for Review and Approval by Fiscal Service Legal
- Build Hierarchy and Access Groups

- Identify Users and User Levels
- Enroll in Portal and Attend Training
DNP Agency Support Center

Phone: 855-837-4391
Email: donotpay@fiscal.treasury.gov
Hours: 7 a.m. - 6 p.m. EST

Visit the Website at:
https://fiscal.treasury.gov/dnp
Questions
Do Not Pay Business Center

DNP Enhancements: Working for the Future

Dominique McCreary
July 23, 2019
Learning Objectives

Purpose
- Purpose of Enhancements/Portal updates

Agency Feedback
- Platforms for generating ideas/concepts for improvement

Fiscal Year 2019 Enhancements
- What have we done lately

Upcoming Enhancements
- Future enhancements/Portal Re-architecture
Purpose

**Improper Payments**
- Address agency challenges with the reduction, detection, and mitigation of improper payments through continuous system development based on agency feedback.

**Develop Metrics**
- Enhance and develop reporting capabilities to further develop metrics.
- Use metrics and analysis to enhance the system functionality to better serve agency customers.

**Ease of Use**
- Ensure functionality coincides with agency business processes across government.
- Functionality seamlessly improve efficiency of daily tasks.

**System Maturity**
- System development aligns with Fiscal Service and customer agency needs and efforts to identify, prevent, and recover improper payments.
Agency Feedback

Who
• Current users
• Prospective users
• Agency leadership

How
• User Community Events
• Current Relationships
• Internal team analysis

What
• Innovative changes
• Align with industry standards
• Align with Agency business processes
Recent Focus Group: Aggregate Death Data

- The Focus Group Team met with each agency user for 30-45 minutes via conference call.

- The Team presented participants with Aggregated Death Data mock-ups. Users addressed questions and provided feedback in the following areas:
  - Look and feel of potential new screens
  - Benefit/impact of consolidating death data
  - Potential enhancements regarding match information
  - Preferred design/layout and functionality

- The Focus Group Team administered a survey of exact TIN and fuzzy name matches illustrating the type of “advanced” matches that could be presented in the portal. Each user addressed questions and provided feedback in the following areas:
  - Value of a fuzzy name match
  - What DNP functionality would be most suitable for this type of matching
  - Preferred exportable format
Recommendations

Aggregate Death Data
• Recommend aggregating all death data to display a consolidated death match in Online Search, Payments, Continuous Monitoring, and Batch Matching
  – Participants identified the benefits of having a more holistic view of death data sources for each match

Advanced Matching
• Recommend instituting Advanced Matching across Online Search, Payments, Continuous Monitoring, and Batch Matching
  – Participants communicated that advanced matching would allow them to capture/research items they normally would not
  – Additional matches would assist in making better determinations or recommendations for further research
System to System Integration

Web Service / Application Program Interface (API)

- Automation of manual processes
- Integration with agency financial systems to streamline the verification process of payees and payments
- Current functionality supports the verification of a single record.

Lets build together!
2018 - 2020 DNP Re-Architecture Release Projection

2018
- R5.5 (August 18, 2018) - Online Search Web Service

2019
- Batch Matching & Continuous Monitoring APIs
- New User Interface for Online Search
- Reports Web Service
- Payments Web Service
- 2nd New User Interface Release - Batch Matching/Continuous Monitoring Functionality

2020
- White List Management Web Service
- 3rd New User Interface Release - Reports
- 4th New User Interface Release - Payments
- Final New User Interface Release - All remaining functionality
Upcoming Enhancements

Release 6.0 August 3, 2019

• Online Search User Interface redesign
  – Updated search functionality and data source selection functionality
  – Re-architected search match results display layout
  – New match details display
  – Ability to provide feedback on Match results
Questions

Dominique McCreary
Do Not Pay Business Center
Manager, Outreach & Business Processes Staff
Dominique.McCreary@fiscal.treasury.gov
202-874-6370

Do Not Pay Agency Support Center
855-837-4391
donotpay@fiscal.treasury.gov
fiscal.treasury.gov/dnp
Logging In to the DNP Portal

Type the Do Not Pay Portal address in your web browser:

https://fiscal.treasury.gov/dnp/

Click on [Log In]
Logging In: Security

1. Click on [PKI Log In]
2. Security window will display
3. Select PIV/PKI credential
4. Click [OK]
Logging In: Terms and Conditions

Terms and Conditions

I have a need to know the information in the Do Not Pay Portal in order to perform my official job duties. I agree only to access the data that is necessary to perform those duties. I will not access Do Not Pay for fraudulent purposes. I acknowledge that browsing, or any unauthorized access of Do Not Pay data, constitutes a serious breach of the confidentiality of that information and may be subject to criminal and civil penalties.

I will make no unauthorized changes to data delivered to me from Do Not Pay. I will not use the information obtained from Do Not Pay, either inadvertently or deliberately for personal gain (for example, to initiate unauthorized refunds/payments, or to wrongfully grant/deny rights, benefits or privileges).

While accessing and researching this site, I may be alerted to information on persons, entities, firms or subcontractors with whom I have a direct or indirect financial interest. In the event that I suspect or later become aware of a conflict of interest or financial interest, I agree to report this immediately to the appropriate Federal agency ethics official.

When I no longer have an official need for the Do Not Pay information, I will request that my access to the site be terminated.

I will not disclose any information from Do Not Pay to any unauthorized person. Any unauthorized disclosure of information, failure to disqualify myself from participation in any decision process in which I have a financial interest, or use of Do Not Pay for other than the specific authorized purpose may result in an investigation that could lead to disciplinary actions and other consequences including the loss of Do Not Pay access.

5. Click [Accept] to login to the Portal
Legacy Landing Page

1. Navigation bar
2. Contact, Logoff, and Help buttons
3. Search bar
4. Data Sources

Do Not Pay Data Sources
Click on name to view the description and specific data for each source.

- American InfoSource Death Data - Obituary
- American InfoSource Death Data - Probate
- Credit Alert System
- Dept of Defense Death Data
- Dept of State Death Data
- List of Excluded Individuals/Entities - Public
- List of Excluded Individuals/Entities - Restricted
- Office of Foreign Assets Control
- SAM Entity Registration Records
- SAM Exclusion Records - Public
- SAM Exclusion Records - Restricted
- SSA Death Master File
- TOP Debt Check

Search Tips
- Security Number (SSN) or Taxpayer Identification Number (TIN)
- SSN/TIN and Last Name
- SSN/TIN and First Name
- SSN/EIN/TIN and Business Name
- Business Name and DUNS and Plus 4
- Business Name and DUNS
- DUNS
- DUNS and Plus 4

To get the best results, here are some other tips:
- Be specific when searching for a name. Enter a name exactly as it will appear in the search results.
- The more criteria you enter, the more limited your results will be. The search results will only contain records that match every criteria you have entered.
- It's a good idea to include a middle initial when searching.

Want to start a new search?

Page 34
New Landing Page

1. Navigation bar
2. Contact, Logoff, and Help buttons
3. Online Search bar
4. Data Sources
5. Announcements
6. Legacy Portal link

- Enter SSN/EIN/TIN
- Enter First Name
- Enter Last Name
- Enter DUNS
- Enter Plus 4
- Enter Business Name

Select Data Sources:
- Select All
- American InfoSource Death Data – Obituary (AIS-OBIT)
- Dept of State Death Data (DOS)
- SAM Entity Registration Records (SAMENT)
- TOP Debt Check (DBCK)
- Credit Alert System (CAIVRS)
- List of Excluded Individuals/Entities – Public (LEIE-PUB)
- SAM Exclusion Records – Public (SAM-EXCL-PUB)
- SAM Exclusion Records – Restricted (SAM-EXCL-RES)
- List of Excluded Individuals/Entities – Restricted (LEIE-RES)
- Dept of Defense Death Data (DOD)
- Office of Foreign Assets Control (OFAC)
- SSA Death Master File (DMF)
New Landing Page

Online Search

Enter First Name
Enter Last Name

Enter Plus 4
Enter Business Name

Search

Select Data Sources

Select All
- American InfoSource Death Data – Obituary (AIS-OBIT)
- American InfoSource Death Data – Probate (AIS-PROB)
- Dept of State Death Data (DOS)
- List of Excluded Individuals/Entities – Public (LEIE-PUB)

- Credit Alert System (CAIVRS)
- List of Excluded Individuals/Entities – Restricted (LEIE-RES)
- Dept of Defense Death (DOD)
- Office of Foreign Assets (OFAC)

New Batch Matching Coming Soon!
To access the existing Batch Matching page, please click "Take Me to the Legacy Portal Page" located at the top of the page.

Take Me to the Legacy Portal Page
Conducting an Online Search

1. Enter information in search fields
   - Enter First Name
   - Enter Last Name
   - Enter SSN/EIN/TIN
   - Enter DUNS
   - Enter Plus 4
   - Enter Business Name
   - Search

2. Missing requirements will change to red
   - Enter Last Name is required when First Name is provided.

3. Select Data Sources
   - Select All
   - American InfoSource Death Data – Obituary (AIS-OBIT)
   - American InfoSource Death Data – Probate (AIS-PROB)
   - Dept of State Death Data (DOS)
   - List of Excluded Individuals/Entities – Public (LEIE-PUB)
   - List of Excluded Individuals/Entities – Restricted (LEIE-RES)
   - SAM Entity Registration Records (SAMENT)
   - SAM Exclusion Records – Public (SAM-EXCL-PUB)
   - SAM Exclusion Records – Restricted (SAM-EXCL-RES)
   - Credit Alert System (CAIVRS)
   - Dept of Defense Death Data (DOD)
   - Office of Foreign Assets Control (OFAC)
   - TOP Debt Check (DBCK)
   - SSA Death Master File (DMF)

4. Click [Search]
## Search Recommendations

<table>
<thead>
<tr>
<th></th>
<th>Three Fields</th>
<th>Two Fields</th>
<th>One Field</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual</td>
<td>• SSN/TIN + First Name + Last Name</td>
<td>• First Name + Last Name</td>
<td>• SSN/TIN</td>
</tr>
<tr>
<td>Entity</td>
<td>• DUNS + Plus 4 + Business Name</td>
<td>• EIN/TIN + Business Name</td>
<td>• EIN/TIN</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• DUNS + Business Name</td>
<td>• Business Name</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• DUNS + Plus 4</td>
<td>• DUNS</td>
</tr>
</tbody>
</table>
Improved Online Search Logic

Old search logic will match records that *exactly* match both the SSN + Name

New search logic matches exact, SSN separately, and Name separately*
Improved Online Search Logic: One Field

1. Total Match Results
   - SSN/EIN/TIN: 171610719
   - DUNS Number: -
   - Plus 4: -
   - Business Name: -
   - 9 Matched
   - Not Searched: AIS-OBIT, AIS-PROB, DMF, DOD, DOS, LEIE-RES, SAM-EXCL-RES

2. Not Searched
   - LEIE-PUB, OFAC, SAM-EXCL-PUB

3. Associated Name
   - ADAM BOYER
   - SSN/EIN/TIN: 171610719
   - Name: ADAM BOYER
   - Birth Date: 07/1951
   - Death Date: 11/14/2008
   - Show

AIS-PROB - 1 Result Found

Page 40
Improved Online Search Logic: Two Fields

### Search Results

<table>
<thead>
<tr>
<th>SSN/EIN/TIN</th>
<th>DUNS Number</th>
<th>Matched Data Sources</th>
<th>Not Searched Data Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>171610719</td>
<td>-</td>
<td>AIS-OBIT, AIS-PROB, DMF, DOD, DOS, LEIE-PUB, LEIE-RES, SAM-EXCL-PUB, SAM-EXCL-RES</td>
<td>CAIVRS</td>
</tr>
</tbody>
</table>

**Total Match Results:** 11

**Not Searched:**
- CAIVRS

**Associated SSN/EIN/TIN**

<table>
<thead>
<tr>
<th>NAME</th>
<th>SSN/EIN/TIN</th>
<th>Birth Date</th>
<th>Death Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADAM BOYER</td>
<td>171610719</td>
<td>12/27/1951</td>
<td>11/14/2008</td>
</tr>
</tbody>
</table>
Improved Online Search Logic: Three Fields

Enter SSN/EIN/TIN: 171610719
Enter First Name: Adam
Enter Last Name: Boyer

Enter DUNS
Enter Plus 4
Enter Business Name

Search Date: 07/03/2019

Match Results: 11

SSN/EIN/TIN: 171610719
First Name: Adam
Last Name: Boyer
DUNS Number: -
Plus 4: -
Business Name: -

Matched: AIS-OBIT, AIS-PROB, DMF, DOD, DOS, LEIE-PUB, LEIE-RES, SAM-EXCL-PUB, SAM-EXCL-RES

Not Searched: -

AIS-OBIT - 1 Result Found
AIS-PROB - 1 Result Found
DMF - 1 Result Found

All Searched
Improved Online Search Logic: Incorrect Fields

1. Total Match Results
2. Different Entity
3. Associated SSN/EIN/TIN
4. Associated SSN/EIN/TIN
Match Results Page

<table>
<thead>
<tr>
<th>Legacy</th>
<th>New</th>
<th>Total Match Results</th>
<th>Sources matched</th>
<th>Sources not searched</th>
<th>Sources with no matches</th>
<th>Click to expand</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- **Legacy**
- **New**
- **Total Match Results**: 1
- **Sources matched**: OFAC
- **Sources not searched**: CAIVRS
- **Sources with no matches**: AIS-OBIT, AIS-PROB, DBCK, DMF, DOS, SAMENT, CAIVRS

**Details**
- **SSN/EIN/TIN**: Mickey
- **First Name**: Len
- **Last Name**: Sudan
- **DUNS Number**: -
- **Plus 4**: -
- **Business Name**: -

**Results for SSN/EIN/TIN**: Mickey
- **3 Results**
- **Match Results**: 1

**OFAC - 1 Result Found**
- **AIS-OBIT**: No results found
- **AIS-PROB**: No results found
- **DBCK**: No results found
- **DMF**: No results found
- **DOS**: No results found
- **SAMENT**: No results found
- **CAIVRS**: Not Searched - Minimum search criteria not provided (SSN/EIN/TIN)
**Match Results Page**

<table>
<thead>
<tr>
<th>Matched Criteria</th>
<th>Name</th>
<th>Title</th>
<th>Type</th>
<th>Type Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>LON SUDER</td>
<td></td>
<td>I</td>
<td>INDIVIDUAL</td>
</tr>
</tbody>
</table>

**Click on headings for details**

<table>
<thead>
<tr>
<th>Address</th>
<th>Identification</th>
<th>Date of Birth</th>
<th>Place of Birth</th>
<th>Citizenship</th>
</tr>
</thead>
<tbody>
<tr>
<td>714 S W OLFE S T</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>City: BALTIM</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Postal Code: 21231</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Match Results Page: Print and Save

1. Click on the Summary button to view the summary page.
2. Click on the print icon to print the summary or details page.
3. Click on the Summary + Details button to view both summary and details together.

**SENSITIVE BUT UNCLASSIFIED**

DO NOT PAY ONLINE SEARCH RESULTS

Date of Search: 06/25/2019

Requested by: MCSR0001

Access Group: INTERNAL HIERARCHY FOR DNP

Results for: SSN/EIN/TIN  First Name: Lon  Last Name: Suder  DUNS Number:  Plus 4:  Business Name:

1 RESULTS

<table>
<thead>
<tr>
<th>Count</th>
<th>Data Source Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>AMERICAN INFOSOURCE DEATH DATA - OBITUARY - 01/31/2019</td>
</tr>
<tr>
<td>0</td>
<td>AMERICAN INFOSOURCE DEATH DATA - PROBATE - 01/31/2019</td>
</tr>
<tr>
<td>N/A</td>
<td>CREDIT ALERT SYSTEM - 01/31/2019</td>
</tr>
<tr>
<td>0</td>
<td>DEPT OF DEFENSE DEATH DATA - 01/31/2019</td>
</tr>
<tr>
<td>0</td>
<td>DEPT OF STATE DEATH DATA - 01/31/2019</td>
</tr>
<tr>
<td>0</td>
<td>LIST OF EXCLUDED INDIVIDUALS AND ENTITIES - PUBLIC - 01/31/2019</td>
</tr>
<tr>
<td>0</td>
<td>LIST OF EXCLUDED INDIVIDUALS AND ENTITIES - RESTRICTED - 01/31/2019</td>
</tr>
<tr>
<td>1</td>
<td>OFFICE OF FOREIGN ASSETS CONTROL (OFAC) - 01/31/2019</td>
</tr>
</tbody>
</table>
Match Results Page: Resolution Information

1. Did this prevent an Improper Payment?
   - Yes  
   - No

2. Amount saved

3. Payment frequency
   - One-time
   - Daily
   - Monthly
   - Annual
   - Contract/Award Total
   - Other

4. Category
   - Allotment
   - Annuity
   - Benefit
   - Child Support
   - Education
   - Fee
   - Insurance
   - Miscellaneous
   - Refund
   - Salary
   - Travel
   - Thrift
   - Vendor

5. Submit
Break
15 Minutes
Do Not Pay Business Center
Analytics Services

Kimberly Derleth, FRB
Nicole Simmons, FRB
July 23, 2019
Who We Are

The **DNP Analytic Solutions Team** is dedicated to delivering *analytical insights* and *innovative data solutions* that help you improve your *payment integrity* and meet your mission.

Our team of professionals includes analytics consultants, data scientists, and data analysts with extensive experience in the federal landscape, all supported by teams with expertise in legal and privacy concerns, customer outreach, and project management.
Our Services

Analytic Solutions Team services range from consultation to fully-integrated data solutions that can be customized to your business.
Our Techniques

We employ advanced **data analysis techniques**.

- Data Matching
- Data Modeling
- Risk Assessment
- Advanced Algorithms
Our Customers

You can utilize our **analytic services** to address:

Eligibility risk and payment integrity issues related to:

- Beneficiaries
- Vendors
- Travel Pay
- Payroll
- Service Providers
- Loans
- Grants
- Disaster Funds
- Loss Guarantee/Insurance

Your Business Problem Here
## Ensuring Payment Integrity

<table>
<thead>
<tr>
<th>Data Quality</th>
<th>Eligible Recipients</th>
<th>Accurate Payments</th>
<th>Risk Mitigation</th>
</tr>
</thead>
<tbody>
<tr>
<td>• TIN</td>
<td>• Program Eligibility Requirements</td>
<td>• Non-Duplicative</td>
<td>• Valid Internal Controls</td>
</tr>
<tr>
<td>• Name</td>
<td>• Valid Identity</td>
<td>• Correct Amount</td>
<td>• Strong Business Processes</td>
</tr>
<tr>
<td>• Address</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The right payment to the right recipient at the right time for the right purpose.
### Additional Customer-Driven Projects

#### Project #1: Travel Pay Integrity Checks

<table>
<thead>
<tr>
<th>Phase</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Understand Business Problem</td>
<td>The customer has multiple systems engaging in travel approvals and travel payments and wanted to assess their system and process for potential vulnerabilities or process improvement opportunities.</td>
</tr>
<tr>
<td>Develop Customer-Centric Solution</td>
<td>We consulted with the customer to understand the system and the process of approvals as well as the data available for analysis. We obtained data extracts from their various systems, and then designed and tested many integrity checks aimed at identifying anomalous or suspicious activity. We also helped to validate their existing internal controls.</td>
</tr>
<tr>
<td>Implement Solution</td>
<td>We executed the integrity checks that were tailored to the customer’s business process. Through bi-weekly discussions, we were able to bring their subject matter expertise into the analyses, pivoting when necessary, leading to a more insightful and useful set of results.</td>
</tr>
<tr>
<td>Measure Value</td>
<td>We provided detailed transactions to the customer that seemed to exhibit situations that were inconsistent with expected business practices. As a result of our analysis, the customer is taking recoupment action on $38k duplicative travel payments. The customer is further investigating the results and will be able to take appropriate action based on the findings.</td>
</tr>
<tr>
<td>Improve</td>
<td>We are continuing to refine and expand the checks that we can apply to this customer’s data. They are interested in a continued monitoring of the integrity checks, including expanding the sets of data that they provide for analysis.</td>
</tr>
</tbody>
</table>
## Additional Customer-Driven Projects

### Project #2: Prioritizing Third-Party Lender Training

<table>
<thead>
<tr>
<th>Understand Business Problem</th>
<th>The customer must audit their third-party lenders for adherence to program requirements. They don’t have enough resources to audit or train all lenders in a timely fashion.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Develop Customer-Centric Solution</td>
<td>We partnered with the agency to identify the factors that would make a lender non-compliant with their program requirements. We designed and applied analyses on extracts of their program data to test against those factors. The analyses were rolled into a risk-based prioritization tool which allowed the agency to identify the lenders at most risk based on criteria of their choosing.</td>
</tr>
<tr>
<td>Implement Solution</td>
<td>The customer was able to interact with the tool and drill into the different risk factors. They could alter weights that defined how the risk factors rolled into the overall prioritization of lenders in need of training.</td>
</tr>
<tr>
<td>Measure Value</td>
<td>The agency was able to focus their resources on training the lenders that pose the highest program risk in order to be the most effective in carrying out their mission.</td>
</tr>
<tr>
<td>Improve</td>
<td>The detailed analyses also allowed the agency to identify opportunities for policy changes which would improve overall payment integrity in their program.</td>
</tr>
</tbody>
</table>
Additional Customer-Driven Projects

<table>
<thead>
<tr>
<th>Project #3: Identifying Cross-Government Opportunities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Understand Business Problem</strong></td>
</tr>
<tr>
<td>The customer has a known improper payments issue in the form of improper overlapping benefits payments being paid to a singular beneficiary. They are unable to address this issue with the current data and solutions that they have available.</td>
</tr>
<tr>
<td><strong>Develop Customer-Centric Solution</strong></td>
</tr>
<tr>
<td>With purview across all Federal payment data, we were able to identify beneficiaries receiving concurrent payments from Federal benefit programs. We are designing a solution for the agency to be able to systemically identify and prevent overlapping benefits. To start, we designed analyses as a proof of concept for what the customer would be able to see and react to using our payment data and matching techniques. To do this, we partnered with the customer to define when overlap is legitimate and when it is improper so that our results indicate the highest-risk beneficiaries for their investigation.</td>
</tr>
<tr>
<td><strong>Implement Solution</strong></td>
</tr>
<tr>
<td>We analyzed payment data from three agencies who pay similar benefits and are known to have improper overlap. By using advanced matching algorithms, like similarity scoring, we were able to link additional payments for review; even in cases when beneficiaries are listed with different names within different agencies or when the agency is missing a Social Security Number for that payee.</td>
</tr>
<tr>
<td><strong>Measure Value</strong></td>
</tr>
<tr>
<td>The customer will be able to use the results of our analyses to work through their adjustment process for the $15M identified overlapping payments. This will enable them to identify additional information that they would need to effectively and efficiently adjust payments or prevent overlapping payments in the future.</td>
</tr>
<tr>
<td><strong>Improve</strong></td>
</tr>
<tr>
<td>The detailed analyses helped the agency to see the extent of overlapping payments and identify the steps they have to take to adjust payments. We will be working to refine our analyses and techniques, and work through additional next steps, in order to turn the proof of concept into an operationalized solution for the agency.</td>
</tr>
</tbody>
</table>
### Additional Customer-Driven Projects

#### Project #4: Expanding use of Data Sources

<table>
<thead>
<tr>
<th>Step</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Understand Business Problem</td>
<td>A shared-service provider needs to ensure that payments are going to eligible recipients on behalf of the agencies they service. They currently use a third-party death data source which they believe could be supplemented.</td>
</tr>
<tr>
<td>Develop Customer-Centric Solution</td>
<td>We received data from the customer and matched it to our death data sources to identify additional payees who appear to be deceased. This will allow the customer to identify additional potential improper payments to these payees. We were also able to help the customer quantify the inadequacies and data quality issues with the third-party data source.</td>
</tr>
<tr>
<td>Measure Value</td>
<td>We showed the customer that our death data sources would provide more accurate and actionable match results. We were able to recommend that the customer consider supplementing or replacing their current third-party data source with our death data. This change would save the shared-service provider valuable time and taxpayer dollars, and the gained efficiencies may also be transferred to the agencies they support.</td>
</tr>
<tr>
<td>Improve</td>
<td>We also learned from the customer that they performed dual verification of death notifications using internet searches. We will be able to offer this customer more automated and time-saving approaches to verifying death information.</td>
</tr>
</tbody>
</table>
Learning Objectives

✓ Provide understanding of Improper Payments Landscape.
✓ Highlight Fiscal Service’s efforts related to the Payment Integrity Center of Excellence.
✓ Review Payment Integrity Center of Excellence’s vision, mission, goals, stakeholders, and areas of focus.
✓ Walkthrough cross-governmental Payment Integrity opportunities.
FY18 Treasury Disbursed Payments

**Total Volume:**
1.2 Billion

**Total Amount:**
$3.5 Trillion

- **ACH:** 1.2 B, 94.7%
- **Check:** 56.2 M, 4.6%
- **International:** 7.8 M, 0.6%
- **FedWire:** 63,019, 0.01%

87% of Federal Disbursements by volume

87% of Federal Disbursements by volume

**Within the $3.5+ trillion payments disbursed annually, less than ½% (5.9 million transactions) require a “second touch”**
Improper Payments Background

• Within the over $3.5 trillion payments disbursed annually:
  – Less than ½% (5.9 million transactions) require exception processing
• Approximately $141 billion are estimated to be “improper payments.”
  – Improper payments occur when either:
    • Federal funds go to the wrong recipient,
    • the recipient receives the incorrect amount of funds (either an underpayment or overpayment),
    • documentation is not available during review to discern that a payment was proper, or
    • the recipient uses Federal funds in an improper manner.

While not all improper payments are fraud and not all improper payments represent a loss to the government, all improper payments degrade the integrity of government programs and compromise citizens’ trust in government.
Treasury provides and will continue to focus on solutions to address the administrative process, eligibility authentication, and data verification root causes of improper payments.

Individual agencies are best equipped to address improper payments associated with insufficient documentation, medical necessity (i.e. incorrectly assessing the need for a medical procedure), and program design or structural issues (e.g. Medicare and Medicaid Program Integrity Centers).

Source: OMB, Improper Payments and Fraud Prevention International Forum, December 2018
Payment Integrity Center of Excellence

VISION
Be a trusted Government-wide partner to provide actionable business insights and solutions that transform how agencies approach identification, prevention, and recovery of improper payments.

MISSION
Provide Government-wide partnership, guidance, and customer centric solutions that aid in the prevention and recovery of improper payments due to fraud, waste, and abuse.

GOALS
- Maximize use of Government and other accessible data
- Improve the accuracy and completeness of data
- Address agency challenges by offering comprehensive data analytics and other services
- Strengthen cross-government partnerships and communications
- Identify opportunities to strengthen Government-wide policy, guidance, and legislation
Payment Integrity Areas of Focus

Payment Integrity Center of Excellence

- **Partnerships**: Create and maintain a network of strategic internal and external partnerships.
- **Data**: Provide agencies more efficient access to data, modernized technology, and a robust privacy and governance process.
- **Analytics**: Provide agencies enhanced analytics expertise and services focused on payment integrity and fraud prevention.
- **Process Improvement**: Provide agencies expert advice and resources to create processes to act on new data-centric internal controls and reduce manual processes.
- **Technology**: Provide agencies enhanced automated solutions.
- **Government Wide Policy**: Collaborate with OMB and Federal agencies to develop government wide legislation on payment integrity best practices and solutions.
- **Workforce**: Leverage analytics staff and develop subject matter expertise to provide actionable business insights that enable adaptive payment integrity services across the Government.
Payment Integrity Throughout the Payment Lifecycle

1. **Identify Improper Payments**
   - Identify the payment or payee that should not be paid

2. **Prevent (Pre-Award, Pre-Payment)**
   - Prevent the award or payment before sending to Treasury

3. **Recall (At time of Payment)**
   - Hold for further analysis or cancel payment before disbursement

4. **Recover (Post-Payment)**
   - Request recovery of funds from the Financial Institution

5. **Investigate**
   - Refer for investigation if criminal activity is determined

6. **Share**
   - Share outcomes and discoveries with stakeholder community

*Identify people who shouldn’t be paid & payments that should not have been made*
Payment Integrity Stakeholders & Customers

Agencies

Law Enforcement & IG

Payment Integrity Center

Financial Institutions

Fiscal Service / Internal

Customer Driven Solutions
Stakeholder Relationships
Business Insight
Data & Analytics
Funds Recovery
Operational Systems
Payment Integrity Center of Excellence

A community of experts dedicated to solving government wide payment integrity issues
**Agency Partnership Engagement Meeting**

How can we partner to solve Payment Integrity issues?

### Seven Topics of Common Interest

<table>
<thead>
<tr>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Payments to the Deceased</td>
</tr>
<tr>
<td>Inter-Agency Benefit Eligibility</td>
</tr>
<tr>
<td>Payee Validation (Banking Info, Address)</td>
</tr>
<tr>
<td>High Risk Financial Institutions</td>
</tr>
<tr>
<td>Compromised Payees and Accounts</td>
</tr>
<tr>
<td>Payee Characteristics (DOB, DOD, Incarcerated, etc.)</td>
</tr>
<tr>
<td>Updates to 31 CFR Part 210</td>
</tr>
</tbody>
</table>

**Agency Partnership Engagement Meeting**
April 10 -11
Payment Integrity Solution Lifecycle

1. **Understand Business Problem**
   Collaborate with customers, understand business needs

2. **Develop Customer-centric Solution**
   Develop innovative analytical solutions with business insights

3. **Implement Solution**
   Provide actionable and tangible outcomes that solve operational business problems.

4. **Measure Value**
   Evaluate results and effectiveness of process improvements

5. **Improve**
   Share best practices with stakeholders through training and outreach
Deceased Payee Analysis

How can we prevent Payments to the Deceased?

How big is the problem?
Identify payments made after date of death

Who is paying them?
Identify agencies and payment types

Evaluate eligibility rules
Determine if payments to deceased individuals were appropriate

Compile data sources
Utilize multiple sources of deceased payee data

Data Quality Analysis
Evaluate payees and dates of death to ensure consistent info

Recovery Efforts
Determine if funds were recovered from post payment events
What’s Next?

Agency Partnership Engagement
Quarterly Meetings
Review cross government initiatives
Solicit agency requirements
Share best practices

OMB Workgroups
Participate in CAP Goal 9 Workgroups
• Strategic Data Use
• Monetary Loss – Root Causes
Provide subject matter expertise

Implement Customer Solutions
Initiate Customer Driven Projects
Apply repeatable Payment Integrity Solution Lifecycle
Utilize core competencies to execute solutions
Demonstrate tangible value through prevention and recovery
Contact Information

Primary Contact:
Name: Kevin McDaniels  
Title: Program Manager, Payment Integrity Center of Excellence  
Email: kevin.mcdaniels@fiscal.treasury.gov

Secondary Contact:
Name: Amanda Werb  
Title: Supervisory Business Analyst, Payment Integrity Center of Excellence  
Email: amanda.werb@fiscal.treasury.gov
Lunch
One Hour
Do Not Pay Business Center

New Databases for Do Not Pay
Help Us Help You

Derek Pachla
July 23, 2019
## Current Data

### Portal Databases

<table>
<thead>
<tr>
<th>Database</th>
<th>Owner(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>American InfoSource Death Data</td>
<td>American InfoSource</td>
</tr>
<tr>
<td>Credit Alert Verification and Reporting System</td>
<td>Various (ED, DOJ, HUD, SBA, USDA, VA)</td>
</tr>
<tr>
<td>Death Master File</td>
<td>Social Security Administration</td>
</tr>
<tr>
<td>List of Excluded Individuals and Entities (P)</td>
<td>Dept. of Health and Human Services OIG</td>
</tr>
<tr>
<td>List of Excluded Individuals and Entities (R)</td>
<td>Dept. of Health and Human Services OIG</td>
</tr>
<tr>
<td>Office of Foreign Assets Control</td>
<td>Dept. of the Treasury (OFAC)</td>
</tr>
<tr>
<td>System for Award Management Entity Registration</td>
<td>General Services Administration</td>
</tr>
<tr>
<td>System for Award Management Exclusions (P)</td>
<td>General Services Administration</td>
</tr>
<tr>
<td>System for Award Management Exclusions (R)</td>
<td>General Services Administration</td>
</tr>
<tr>
<td>Treasury Offset Program (TOP) Debt Check</td>
<td>Dept. of the Treasury (Fiscal Service)</td>
</tr>
<tr>
<td>Reserve and Active Military Death Data</td>
<td>Department of Defense</td>
</tr>
<tr>
<td>Overseas Death Data</td>
<td>Department of State</td>
</tr>
</tbody>
</table>

### Analytics Databases

<table>
<thead>
<tr>
<th>Database</th>
<th>Owner(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Publication 78 Tax Exemption Data</td>
<td>Internal Revenue Service</td>
</tr>
<tr>
<td>990-N Tax Exemption Data</td>
<td>Internal Revenue Service</td>
</tr>
<tr>
<td>Automatic Revocation List</td>
<td>Internal Revenue Service</td>
</tr>
</tbody>
</table>
Data Acquisition Process

**Requests Received**
- Agency Outreach
- Annual Financial Reports
- Congress and OMB
- Cross-functional Research
- Senior Leadership

**Evaluation Factors**
- Key Data Elements
- Use Cases
- Legal/Privacy Concerns
- Program Concerns
- Technical Limitations
- ROI

**Federal Register Notice**
- Statutory and other limitations;
- Privacy restrictions and risks;
- Impact on program integrity;
- Benefits of streamlined access;
- Costs associated with acquisition;
- Other policy and stakeholder considerations

**Leadership Decision**
- Designate
- Pilot
- Perform Analytics
- Abandon Designation
New Data in Release 5.7

Department of State Overseas Death Data

Available: February 9, 2019 in Payment Integration

The U.S. Department of State collects information on American Citizens who are deceased or presumed deceased in foreign countries, as reported by U.S. embassies or consulates upon its receipt of a foreign death certificate or finding of death by a local competent authority.

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>The name of the deceased individual.</td>
</tr>
<tr>
<td>TIN</td>
<td>Tax Identification Number of the deceased individual.</td>
</tr>
<tr>
<td>DOD</td>
<td>The date of death for the deceased individual.</td>
</tr>
<tr>
<td>DOB</td>
<td>The date of birth for the deceased individual.</td>
</tr>
</tbody>
</table>
New Data in Release 5.9

Department of Defense Death Data

Available: March 22\textsuperscript{nd}, 2019 in Online Single Source, Batch Match, Continuous Monitoring, and Payment Integration

The Defense Manpower Data Center’s Reporting System (DMDCRS) compiles information on active and reserve military personnel. An extract of this data that indicates deceased individuals is now available in the Do Not Pay Portal.

<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
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</tr>
<tr>
<td>DOB</td>
<td>The date of birth for the deceased individual.</td>
</tr>
</tbody>
</table>
Benefits of Additional Death Data

- Wider Coverage
- Improved timeliness
- Potentially higher match confidence
  - AIS engages in additional investigation to ensure data quality;
  - Department of State undergoes rigorous vetting through the magistrate system prior to declaring an individual deceased.
  - Department of Defense is the authoritative source for active and reserve military information.
Building a Data Landscape

<table>
<thead>
<tr>
<th>Reporting Source</th>
<th>DMF Public</th>
<th>American InfoSource</th>
<th>Department of State</th>
<th>Department of Defense</th>
</tr>
</thead>
<tbody>
<tr>
<td>Family Members</td>
<td>✔</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Funeral Homes</td>
<td>✔</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hospitals</td>
<td>✔</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Federal Agencies</td>
<td>✔</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Postal Authorities</td>
<td>✔</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Financial Institutions</td>
<td>✔</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Obituaries</td>
<td></td>
<td>✔</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Probate Court</td>
<td></td>
<td></td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Consular Reports</td>
<td></td>
<td></td>
<td></td>
<td>✔</td>
</tr>
<tr>
<td>Military</td>
<td></td>
<td></td>
<td></td>
<td>✔</td>
</tr>
</tbody>
</table>
### Data in Action

<table>
<thead>
<tr>
<th>Payment Adjudication Status</th>
<th>PAM Payment Status</th>
<th>Total # of Matches</th>
<th>Data Sources</th>
<th>Payment Type</th>
<th>Payee Name</th>
<th>SSN/ETIN/TIN</th>
<th>Payment Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>NOT ADJUDICATED</td>
<td>ISSUED</td>
<td>1 Matches</td>
<td>DOS</td>
<td>SALARY</td>
<td>BURGESS BLAKE</td>
<td>896903187 / SSN</td>
<td>7,486.00</td>
</tr>
<tr>
<td>NOT ADJUDICATED</td>
<td>ISSUED</td>
<td>1 Matches</td>
<td>DOS</td>
<td>SALARY</td>
<td>FROST BILL</td>
<td>896903187 / SSN</td>
<td>4,341.00</td>
</tr>
<tr>
<td>NOT ADJUDICATED</td>
<td>ISSUED</td>
<td>1 Matches</td>
<td>DOS</td>
<td>SALARY</td>
<td>LOPEZ DESTINY</td>
<td>896903189 / SSN</td>
<td>6,036.00</td>
</tr>
<tr>
<td>NOT ADJUDICATED</td>
<td>ISSUED</td>
<td>1 Matches</td>
<td>DOS</td>
<td>SALARY</td>
<td>MENENHALL ERIC</td>
<td>886603184 / SSN</td>
<td>5,786.00</td>
</tr>
<tr>
<td>NOT ADJUDICATED</td>
<td>ISSUED</td>
<td>1 Matches</td>
<td>DOS</td>
<td>SALARY</td>
<td>TAYLOR BEN</td>
<td>886603183 / SSN</td>
<td>1,191.00</td>
</tr>
<tr>
<td>NOT ADJUDICATED</td>
<td>ISSUED</td>
<td>1 Matches</td>
<td>DOS</td>
<td>SALARY</td>
<td>TEDDER CHUCK</td>
<td>896903189 / SSN</td>
<td>6,715.00</td>
</tr>
<tr>
<td>NOT ADJUDICATED</td>
<td>ISSUED</td>
<td>1 Matches</td>
<td>DOS</td>
<td>SALARY</td>
<td>VICENTE MARIANA</td>
<td>896903182 / SSN</td>
<td>8,176.00</td>
</tr>
</tbody>
</table>

**Payment Information**

- **Payee Name**: BURGESS BLAKE
- **TIN**: 896903187 / SSN
- **DUNS**: 123456789
- **Address**: 14019999
- **Agency Location Code**: AGENCYGROUPCODEAGENCYGRO
- **Agency Account ID**: TITI1TT1T1T1T1T1T1T1T
- **Schedule #**: SCHD0000006793
- **Payment ID Code**: PAYDNPTEST0000990510
- **Payment Amount**: 7,486.00
- **Payment Date**: 11/15/2018
- **Payment Type**: SALARY
Data in Action
## Data in Action

### Payment Information

- **Payment Amount:** $500.00
- **Payment Date:** 9/21/2018
- **PAM Payment Status:** ISSUED
- **Payment Adjudication Status:** NOT ADJUDICATED

<table>
<thead>
<tr>
<th>SSN/EIN/TIN</th>
<th>SSN</th>
<th>Schedule #</th>
<th>Agency Location Code</th>
<th>Agency Group Code</th>
<th>Agency Account ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>282701376 / SSN</td>
<td></td>
<td>SCHDBC56001076</td>
<td>14019999</td>
<td></td>
<td>1002003</td>
</tr>
</tbody>
</table>

**Payee Name:** Jango Fett

### SSA Death Master File

- **Match Adjudication Status:** NOT ADJUDICATED
- **Whitelist Reason:** NONE
- **Date of Death:** 05/26/2016
- **Verify or Proof:** V
- **DOD to Payment Date Difference:** 848
- **TIN:** 282701376 / SSN
- **Payee Name:** Jango Fett

### American Infosource Death Data - Obituary

- **Match Adjudication Status:** PROPER - BUSINESS R.
- **Whitelist Reason:** NONE
- **Date of Death:** 08/01/2018
- **DOD to Payment Date Difference:** 51
- **TIN:** 282701376 / SSN
- **Payee Name:** Jango Fett

---

**Page 84**

**Lead • Transform • Deliver**
Feedback Needed – Databases Wanted!

Death Data
Income Data
Prisoner Data
Court Data

What’s on your agency’s “Most Wanted List?”
Contact your Senior Agency Outreach Liaison and let us know!
Questions

Derek Pachla
Do Not Pay Business Center
Management and Program Analyst
Derek.Pachla@fiscal.treasury.gov
202-504-3524

Do Not Pay Agency Support Center
855-837-4391
donotpay@fiscal.treasury.gov
fiscal.treasury.gov/dnp
What is a CMA?

• Written agreement between originating or source agency and recipient agency
  – Describes the purposes and procedures of the matching program
  – Establishes protections for matching records involving personally identifiable information maintained in a system of records
What is a System of Records?

• A “System of Records” is a group of any records
  – under the control of an agency,
  – from which information is retrieved by the name of an individual or by some identifying number, symbol, or other identifier assigned to the individual.
What is a SORN?

A System of Records Notice (SORN) is a notice published in the Federal Register that—

- Identifies:
  - the purpose of a system of records
  - The individuals are covered by information in a system of records.
  - the categories of records that are maintained about the individuals.
  - how the information is shared by the agency (routine uses).

- Informs the public of the existence of records

- Provides notice to the public of their rights and procedures under the Privacy Act for accessing and correcting information maintained by the agency on an individual.
CMA Process without DNP SORN

Federal Agency

Computer Matching Agreements

GSA

Treasury

HHS

External Data Sources

SAM

TOP

LEIE
Benefits of the DNP SORN

DNP Customer Agency

DNP CMA

DNP – Treasury’s Working System (SORN #.023)

DNP Initiative

- TOP
- SAM
- DMF
- AIS
- OFAC
- LEIE
- CAIVRS
- Other Data Sources

DNP MOU
Why is it Important?

<table>
<thead>
<tr>
<th></th>
<th>January XXXX</th>
<th>February XXXX</th>
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<tbody>
<tr>
<td>Total Payments</td>
<td>$191,225,037,880</td>
<td>$155,985,536,614</td>
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<tr>
<td>SAM Exclusions-<strong>Public</strong> Matches</td>
<td>$876,707,274</td>
<td>$840,440,999</td>
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<td>SAM Exclusions---<strong>Public</strong> Improper</td>
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<td>$2,698</td>
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<td>Matches as % of Payments</td>
<td>0.4585%</td>
<td>0.5388%</td>
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<tr>
<td>Improper as % of Matches</td>
<td>0.0004%</td>
<td>0.0003%</td>
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**Total Payments**

<table>
<thead>
<tr>
<th></th>
<th>January XXXX</th>
<th>February XXXX</th>
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<tbody>
<tr>
<td>SAM Exclusions-<strong>Private</strong> Matches</td>
<td>$1,593,129</td>
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<td>SAM Exclusions---<strong>Private</strong> Improper</td>
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<tr>
<td>Matches as % of Payments</td>
<td>0.001%</td>
<td>0.002%</td>
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<tr>
<td>Improper as % of Matches</td>
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</tbody>
</table>

**Reduction in Matches** 99.75%

**SAM Exclusions Public**

<table>
<thead>
<tr>
<th>Name</th>
<th>TIN</th>
<th>State</th>
<th>Payment</th>
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</thead>
<tbody>
<tr>
<td>John A. Smith</td>
<td>123456789</td>
<td>AL</td>
<td>$100.00</td>
</tr>
<tr>
<td>John B. Smith</td>
<td>234567890</td>
<td>AZ</td>
<td>$200.00</td>
</tr>
<tr>
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<td>345678901</td>
<td>AR</td>
<td>$300.00</td>
</tr>
<tr>
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<td>567890123</td>
<td>HI</td>
<td>$500.00</td>
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<tr>
<td>John F. Smith</td>
<td>678901234</td>
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<tr>
<td>John G. Smith</td>
<td>789012345</td>
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<td>$700.00</td>
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<tr>
<td>John H. Smith</td>
<td>890123456</td>
<td>IN</td>
<td>$800.00</td>
</tr>
<tr>
<td>John I. Smith</td>
<td>901234567</td>
<td>MO</td>
<td>$900.00</td>
</tr>
<tr>
<td>John J. Smith</td>
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**SAM Exclusions Private**

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What are Some CMA Triggers?

U.S. Citizen ✅

Deceased ✖
CMA Triggers

Matching to provide cash or in-kind assistance for Federal benefit programs

Matching to make procurement decisions
CMA Triggers

Matching files each of which is aligned to a SORN

Matching a file that is aligned to a SORN to another file not covered by a SORN
CMAs are NOT Required for…

- Online searches in the DNP Portal
- Statistical matches
- Matching program between public data sources or those not aligned to a system of records
- Matching programs that do not involve verifying eligibility in a Federal benefit program
  - Example: When the purpose of the match is to make procurement decisions
- Payment Integration *(Treasury handles this for you in the payment stream)*
DNP and CMAs

Two Very Important Documents

Office of Management and Budget
OMB M-18-20 Requirements for Payment Integrity Improvement

Do Not Pay Verification Records
System of Records Notice (SORN #.023) JAN 2014
Enables DNP to derive data, retain records, and enter into CMAs
Recipient Agency = DNP Customers
Source Agency = Treasury’s DNP

CMA Process - Documentation

Propose CMA and develop draft

Draft
Internal reviews (OGC, privacy office)
DIB review
Agency Head review
Sends notices (OMB, Congress, FR)

Notice
Draft

CMA

DIBs conduct an annual review and reporting of matching programs, and submit reports to OMB, agency head and make them publicly available

CMA = Computer Matching Agreement
DIB = Data Integrity Board
OMB = Office of Management and Budget
FR = Federal Register

Source: GAO analysis of Privacy Act requirements and agency documentation.
## CMA Process – OMB M-18-20

<table>
<thead>
<tr>
<th>Topic</th>
<th>Excerpt</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CMA Lifespan</strong></td>
<td>Matching programs have a termination date of less than three years. Agencies may renew these CMAs for a maximum of three years.</td>
</tr>
<tr>
<td><strong>Review Period</strong></td>
<td>Data Integrity Boards (DIBs) have a 60-day deadline for review of a CMA. If circumstances do not permit the DIB to approve or disapprove the DNP matching program within 60 days, the DIB shall provide a brief memorandum to the head of the agency (or to the Inspector General in cases where the Inspector General proposed the matching program) describing the necessity for the delay.</td>
</tr>
<tr>
<td><strong>Cost Benefit Analysis</strong></td>
<td>Agencies' cost-benefit analyses for a DNP matching program need not contain a specific estimate of any savings.</td>
</tr>
<tr>
<td><strong>Multilateral CMAs</strong></td>
<td>A computer matching agreement that involves more than two agencies</td>
</tr>
</tbody>
</table>
Questions
Break
15 Minutes
Do Not Pay Business Center
Best Practices
Closeout & Questions
Thank You!