



DNP DO
NOT
PAY

BUREAU OF THE FISCAL SERVICE

DNP Spotlight Training Webinar

DNP 101

Webinar Etiquette

- Please note that this session will be muted by the host.
- Please do not put your phone on hold.
- All questions will be addressed at the end of the presentation.
- If you would like a copy of the presentation emailed to you, please send an email to the Do Not Pay Mailbox (donotpay@fiscal.treasury.gov). In addition, the presentation will be posted on the Do Not Pay website.



Agenda

Improper Payments

What is Do Not Pay?

Available Data Sources

Data Analytics

Agency Support

Questions

Improper Payments

Government-wide Improper Payments

\$171 billion in federal benefit, grant and vendor payments were made improperly in FY 2019



Improper Payment Definition

Improper payments occur when:

- Funds go to the wrong recipient
- The right recipient receives the wrong amount of funds
- Documentation isn't available to support a payment
- The recipient uses the funds in a manner that is inconsistent with why it was awarded



If a payment meets any of the above criteria, it is considered improper

Taxpayers expect us to **make every dollar count.**

Do Not Pay does precisely that.

What is Do Not Pay?

What is Do Not Pay?

Provides services and support activities related to the identification, detection, and prevention of improper payments under the Improper Payments Elimination and Recovery Improvement Act of 2012 (IPERIA) and the Federal Improper Payments Coordination Act of 2015 (FIPCA)



DNP relies on OMB for direction

- The Office of Management and Budget (OMB) designated the Department of the Treasury to host the working system to assist agencies in identifying and preventing improper payments
- The Bureau of the Fiscal Service (Fiscal Service) operates the DNP Business Center

- OMB issues guidance for the DNP program, but retains several authorities:
- OMB must designate additional databases for use in DNP (per IPERIA)
- States can access the DNP Initiative for purpose of verifying improper payments after OMB determines that DNP “is appropriately established” for that state per FIPCA

Do Not Pay Goals

Provide *timely, accurate, and actionable* *information* about payees and payments to assist agencies in identifying, preventing, and stopping improper payments.

Develop and assist agencies in implementing *cutting edge techniques* to protect the integrity of payments and awards.

Innovate and introduce new tools and strategies to assist agencies in identifying and preventing a wider variety of improper payments.

Provide *outstanding service* to DNP customers.

What is the DNP Business Center?

- A resource for federal agencies and federally funded state administered programs at no-cost to the agencies
- Centralized access to relevant data sources for verifying payment or award eligibility
- Customized data analysis to help agencies detect fraud waste and abuse and strengthen internal controls



Do Not Pay Business Center Benefits

- Provides centralized access to multiple data sources
- Helps agencies verify eligibility pre-award and pre-payment
- Streamlines data management
- Meets existing federal data security and privacy standards



Components of the Business Center

DNP Business Center



Web-based
Portal



Data Analytics



Agency Support

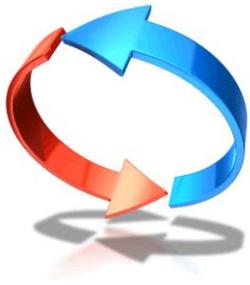
Components of the Do Not Pay Portal

A web-based Portal and central location for improper payment related data needs.



Online Search

User can search an individual or entity and view matching records



Batch Matching & Continuous Monitoring

One time bulk submission for screening grants or loan payments against specified data sources or Continuous screening for eligibility verification against specified data sources using a grantee or loan recipient listing



Payment Integration

Displays payments disbursed by Treasury via Payment Automation Manager that are matched against death data sources - user can review and/or adjudicate the matches

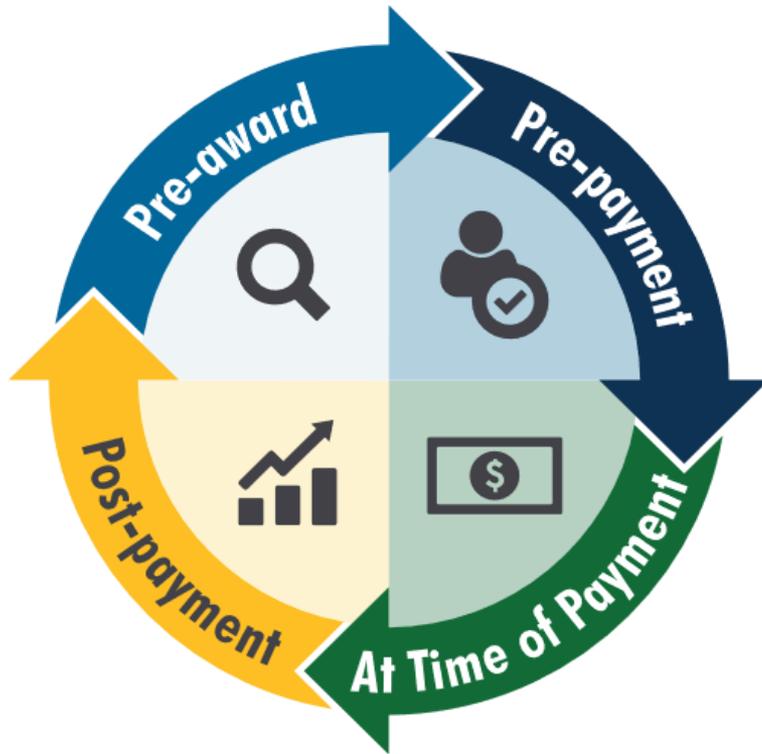
Web Service/API

A Web Service or Application Programming Interface (API) creates a connection with agency payment systems and the DNP Portal. This integrates the functionalities of the Portal within agency internal systems.

- Greater efficiencies
 - Customizable to agency needs
 - Share data with third parties
 - Technical resourcing from DNP
 - API is only available with the Online Search functionality.
- There are plans to expand with Batch Matching and Continuous Monitoring functionalities in the future.



DNP and the Payment Life Cycle



Pre-award

Before you start to pay, search DNP to make sure it's okay to pay this person or company.

Pre-payment

Check again throughout the time this person or company is getting payments, or set up to monitor their eligibility. You can also research matches.

At Time of Payment

Agency payments submitted to Treasury via the PAM file are automatically matched against available death data sources through Payment Integration.

Post-payment

Use Data Analytics to get reports and see trends. Use the reports to fix any problems and improve your service.

Leverage Do Not Pay Across Payment Types

Leverage DNP Across Payment Types

Grant and/or Loan Payments

Beneficiary Payments

Vendor and/or Contractor Payments

Available Data Sources

Available Data Sources

		DNP Data Sources									
Payment Type	DNP Usage	AIS	DMF	DOD	DOS	CAIVRS	LEIE	OFAC	SAM Entity	SAM Exclusion	TOP Debt Check
Grant and/or Loan Payments	Online Search										
	Continuous Monitoring										
	Batch Matching										
Beneficiary Payments	Online Search										
	Continuous Monitoring										
	Batch Matching										
Vendor and/or Contractor Payments	Online Search										
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Grant and/or Loan Payments	Online Search										
	Continuous Monitoring	<p>American InfoSource Death Data - Commercial</p> <p>Contains information on deceased individuals obtained from over 3,000 funeral homes and thousands of newspapers, as well as county-level probate records</p> <p>Verify whether an individual receiving payments is deceased</p>									
	Batch Matching										
Online Search											
Beneficiary Payments	Continuous Monitoring										
	Batch Matching										
	Online Search										
Vendor and/or Contractor Payments	Continuous Monitoring										
	Batch Matching										
	Online Search										

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Grant and/or Loan Payments	Online Search										
	Continuous Monitoring										
	Batch Matching										
Beneficiary Payments	Online Search	<p>Death Master File - Public (Social Security Administration) Contains records of deceased individuals as reported by family members, funeral homes, hospitals, federal agencies, postal authorities, and financial institutions. Public version does not include state-reported deaths</p>									
	Continuous Monitoring										
	Batch Matching										
Vendor and/or Contractor Payments	Online Search	Verify whether an individual receiving payments is deceased									
	Continuous Monitoring										
	Batch Matching										

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Grant and/or Loan Payments	Online Search										
	Continuous Monitoring	<p>Department of Defense Death Data - Public Contains records of individuals in active or reserve military duty, including whether an individual is deceased</p> <p>Verify whether an individual receiving payments is deceased</p>									
	Batch Matching										
Beneficiary Payments	Online Search	<p>Department of Defense Death Data - Public Contains records of individuals in active or reserve military duty, including whether an individual is deceased</p> <p>Verify whether an individual receiving payments is deceased</p>									
	Continuous Monitoring										
	Batch Matching										
Vendor and/or Contractor Payments	Online Search										
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Grant and/or Loan Payments	Online Search										
	Continuous Monitoring	<p>Department of State Death Data - Public</p> <p>Contains records of American Citizens who are deceased or presumed deceased in foreign countries, as reported by U.S. embassies or consulates upon its receipt of a foreign death certificate or finding of death by a local competent authority</p> <p>Verify whether an individual receiving payments is deceased</p>									
	Batch Matching										
Online Search											
Beneficiary Payments	Continuous Monitoring										
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Grant and/or Loan Payments	Online Search										
	Continuous Monitoring	<p style="text-align: center;">Credit Alert System - Restricted (DOJ, HUD, SBA, USDA, & VA) Contains records of individuals with federal debt in delinquent or default Verify whether an individual is a delinquent federal borrower</p>									
	Batch Matching										
Beneficiary Payments	Online Search	<p style="text-align: center;">Credit Alert System - Restricted (DOJ, HUD, SBA, USDA, & VA) Contains records of individuals with federal debt in delinquent or default Verify whether an individual is a delinquent federal borrower</p>									
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Vendor and/or Contractor Payments	Online Search										
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Grant and/or Loan Payments	Online Search										
	Continuous Monitoring	<p>List of Excluded Individuals & Entities – Public & Restricted (Department of Health and Human Services) Contains records of individuals and entities excluded from participating in federally funded health care programs</p> <p>Verify whether payments are to individuals and entities excluded from participating in federal health care programs</p>									
	Batch Matching										
Online Search											
Beneficiary Payments	Continuous Monitoring	<p>List of Excluded Individuals & Entities – Public & Restricted (Department of Health and Human Services) Contains records of individuals and entities excluded from participating in federally funded health care programs</p> <p>Verify whether payments are to individuals and entities excluded from participating in federal health care programs</p>									
	Batch Matching										
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Vendor and/or Contractor Payments	Continuous Monitoring										
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Grant and/or Loan Payments	Online Search										
	Continuous Monitoring	<p>Office of Foreign Assets Control - Public (Treasury)</p> <p>Contains records of individuals, groups, and entities which are under sanction by the Federal Government and therefore ineligible for payment</p> <p>Verify whether an individual or entity is prohibited from entering into financial transactions with U.S. financial institutions and the U.S. government</p>									
	Batch Matching										
Online Search											
Beneficiary Payments	Continuous Monitoring										
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Grant and/or Loan Payments	Online Search																			
	Continu	<p>System for Award Management Entity Registration Records - Restricted (General Services Administration)</p> <p>Contains records of individuals and businesses authorized to enter into contracts with the Federal Government</p> <p>Verify that a vendor seeking to do business with the Federal Government has registered, in accordance with the Federal Acquisition Regulation (FAR)</p>																		
	Batch Ma																			
Beneficiary Payments	Online S																			
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	Batch Ma																			
Vendor and/or Contractor Payments	Online S																			
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Grant and/or Loan Payments	Online Search										
	Continuous Monitoring	<p>System for Award Management Exclusion Records - Public & Restricted (General Services Administration)</p> <p>Contains records of individuals and businesses currently debarred from entering into contracts with the Federal Government under specific exclusions or registered to conduct business with the Federal Government</p> <p>Verify whether payments are to debarred individuals</p>									
	Batch Matching										
Beneficiary Payments	Online Search										
	Continuous Monitoring										
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Vendor and/or Contractor Payments	Online Search										
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	Continuous Monitoring	<p>Treasury Offset Program (TOP) Debt Check - Restricted Contains records of individuals whose debt has been referred to Debt Management Services for collection.</p> <p>Verify whether a payee owes delinquent non-tax debts to federal government (and participating states)</p>									
	Batch Matching										
Online Search											
Beneficiary Payments	Continuous Monitoring										
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Vendor and/or Contractor Payments	Continuous Monitoring										
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Data Sources by Payment Type

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Payment Type	DNP Usage	AIS	DMF	DOD	DOS	CAIVRS	LEIE	OFAC	SAM Entity	SAM Exclusion	TOP Debt Check
Grant and/or Loan Payments	Online Search	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
	Continuous Monitoring	✓	✓	✓	✓		✓	✓	✓	✓	✓
	Batch Matching	✓	✓	✓	✓		✓	✓	✓	✓	✓
Beneficiary Payments	Online Search										
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✓ Indicates data sources that may require a Computer Matching Agreement (CMA)

Data Sources by Payment Type

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Grant and/or Loan Payments	Online Search	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
	Continuous Monitoring	✓	✓	✓	✓		✓	✓	✓	✓	✓
	Batch Matching	✓	✓	✓	✓		✓	✓	✓	✓	✓
Beneficiary Payments	Online Search	✓	✓	✓	✓	✓	✓	✓			✓
	Continuous Monitoring	✓	✓	✓	✓		✓	✓			✓
	Batch Matching	✓	✓	✓	✓		✓	✓			✓
Vendor and/or Contractor Payments	Online Search										
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	Batch Matching										

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Grant and/or Loan Payments	Online Search	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
	Continuous Monitoring	✓	✓	✓	✓		✓	✓	✓	✓	✓
	Batch Matching	✓	✓	✓	✓		✓	✓	✓	✓	✓
Beneficiary Payments	Online Search	✓	✓	✓	✓	✓	✓	✓			✓
	Continuous Monitoring	✓	✓	✓	✓		✓	✓			✓
	Batch Matching	✓	✓	✓	✓		✓	✓			✓
Vendor and/or Contractor Payments	Online Search					✓	✓	✓	✓	✓	✓
	Continuous Monitoring						✓	✓	✓	✓	✓
	Batch Matching						✓	✓	✓	✓	✓

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Data Analytics

DNP Analytics Services

- Flexible projects types
 - Standard & Custom projects
- Flexible to your data needs
 - Use DNP data
 - Use data from your systems
 - Ability to pilot data sources
- Flexible to your business rules
 - Utilize your matching logic
 - Incorporate your specific eligibility requirements



DNP Analytics Services

Analytics Capabilities

DATA

Inform smart decision-making, and assessments of eligibility and risk

AUTHORITIES

Legally address improper payments and waste, fraud, and abuse

PROCESSES

Sound processes ensure compliant and efficient work products

TECHNOLOGY

Integrate security and advanced data storage, workflows, and analyses

PEOPLE

Action-oriented and talented critical thinkers, grown from within

Direction from OMB & Fiscal Service, and Support from Other DNP Teams

Presidential Memorandum / IPERIA / M-18-20 / FIPCA

DNP Analytics Capabilities

Verification of Payee Eligibility

- Matching payee data to eligibility data sources

Data Quality Checks

- Techniques that identify hard-to-detect payment errors and fraud risk in payments, invoices, or vendors

Preventative Controls Development

- Demonstrate if internal controls are effectively preventing improper payments

Vendor Consolidation

- Identify duplicate, high-risk, or ineligible vendors

Custom Research & Ad-hoc Analysis

- Provide insight into specific research questions
- Assist with ad hoc needs (i.e., cross-government overlap)

Data Sources Available via Analytics

Internal Revenue Service's Tax-Exemption Lists

- Contains records of organizations eligible and ineligible to receive tax-deductible charitable contributions and grants targeting tax-exempt entities.
 - 990-N (e-Postcard) – Authorized organizations with under \$50,000 in contributions
 - Publication 78 – Authorized organizations with over \$50,000 in contributions
 - Automatic Revocation of Exemption List – Organizations whose tax-exempt status has been revoked

A dark blue horizontal arrow pointing to the left, containing the text "Agency Support" in white.

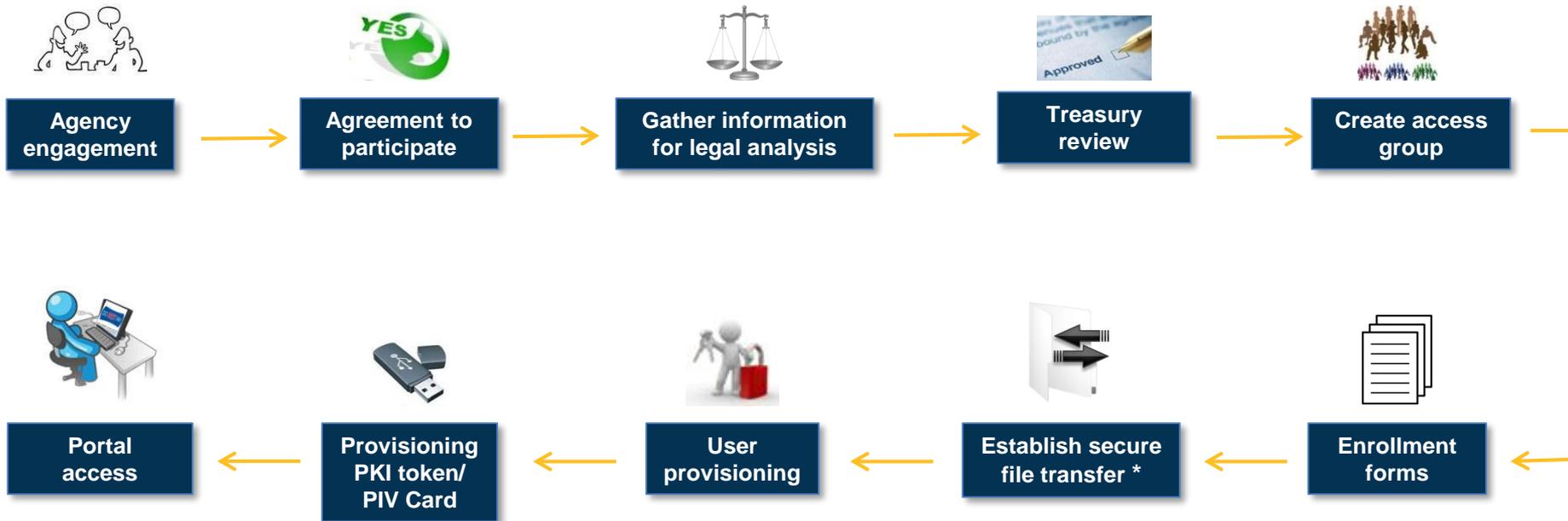
Agency Support

DNP Agency Support

- Provides customized Agency Outreach and Business Processes solutions based upon agency needs:
 - Onboarding to Do Not Pay Portal
 - Training
 - Business Process Mapping
 - Focus Groups
 - User Community Events
 - Best Practices Forum
 - Grants Management Forum
 - Do Not Pay Day
 - Help Desk Support



DNP Portal Onboarding Process



* Applicable to Batch Matching and Continuous Monitoring Onboarding

Get Started with Do Not Pay



- Reach out to the Agency Support Center:

Do Not Pay Agency Support Center

1-855-837-4391

donotpay@fiscal.treasury.gov

- Schedule a meeting with our team
- Visit the website:
<https://fiscal.treasury.gov/dnp/>

DNP Contact Information

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Questions

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