	TRAVEL
Q1:	What travel is allowed during a shutdown??
A1:	Only exempt employees may travel during a shutdown, and even that travel should be limited and only if no viable alternative is available. All travel should be discussed with Assistant Commissioners/Executive
	Directors/Deputy Assistant Commissioners so they may determine whether the request should be submitted to
	their Deputy Commissioner for approval.
	TIME AND ATTENDANCE/PAY AND LEAVE
Q1	Can Exempt employees earn and/or use overtime, comp time, or credit hours?
A1	Yes
Q2	Can Excepted employees earn and/or use overtime or comp time?
A2	Excepted employees may earn overtime or compensatory time off; however, they will not be compensated for
	any overtime earned, or be permitted to use any compensatory time off earned, until a new appropriation or
	continuing resolution is enacted.
Q3	How will retroactive pay be handled for Excepted and Non-Excepted employees?
A3	Excepted employees will receive retroactive pay once a new appropriation or continuing resolution is enacted.
	Retroactive pay for Non-Excepted employees will only apply if a law requiring back pay is enacted.
Q4	During a shutdown, how are employees affected if their office is closed due to severe weather?
A4	Exempt employees will follow normal operating procedures for inclement weather.
	Excepted employees will follow normal emergency operating procedures. However, if they do not work during
	such a closure, they will be placed in temporary furlough status for that time period.
	Non-Excepted (furloughed) employees remain in furlough status.
Q5	How will employees record timecards in webTA during the shutdown?
A5	Exempt employees will continue to record work time and leave as normal.
	How time is recorded for Excepted and Non-excepted employees will be dependent on the status of a new
	appropriation or continuing resolution and back pay legislation at the time the card is submitted. Further
	guidance will be provided to Excepted and Non-excepted employees based on these things, when necessary.

	Excepted employees should manually track work performed during the shutdown to ensure time is accurately
	reflected after the shutdown ends.
Q6	Once Government funding is restored, will employees receive back pay for the time they were furloughed?
A6	There is no guarantee that furloughed employees will be paid for time not worked during a shutdown.
	Employees will receive back pay only if a law requiring back pay is enacted.
Q7	May employees take previously approved paid leave during a Government shutdown caused by a lapse in
	appropriations? May employees submit new requests for paid leave during a shutdown?
A7	Exempt employees may take previously approved leave and make new requests for leave during a shutdown.
	As always, supervisors have the discretion to cancel or disapprove annual leave requests based on work needs.
	Excepted employees may not take leave during a furlough. Excepted employees must be performing excepted
	functions or be in a furlough status during any absence from work (documented by a furlough notice, even if
	just for a few hours or for a full day). Previously approved leave is cancelled and no new leave requests may be
	scheduled. If an Excepted employee must be out of the office, he/she will be placed in a furlough status. Given
	the unique timing of this lapse in appropriation, OMB encourages agencies to place Excepted employees in a
	furlough status for previously scheduled leave. However, business requirements may necessitate that some
	Excepted employees cancel their leave.
	Non-excepted employees must be in a furlough status and may not substitute paid leave for periods of furlough.
Q8	May employees required to work during a shutdown continue to work alternative work schedules (AWS)—i.e., 4/10 or 5-4/9 schedules?
A8	Employees may be permitted to work their normal AWS schedules. However, due to the uncertainty of the
	length of the shutdown, management will need to continually evaluate the work and may require employees to
	adjust their schedules temporarily. Supervisors who have a need to change AWS schedules during a shutdown
	should speak first with Jim Fox, Manager, Labor Relations (304-480-8202). Given the unique timing of this
	lapse in appropriation, OMB encourages agencies to grant an employee's AWS.
Q9	Will employees get paid for a holiday that occurs during a shutdown?
A9	Current OPM guidance states that exempt employees will be paid for a holiday. Unless they are working on the
	holiday, excepted employees will be placed in a furlough status for that day, and will not be paid for the day
	unless a law requiring back pay is enacted. Non-excepted employees will remain in a furlough status and will
	not be paid for the time they are furloughed unless a law requiring back pay is enacted.
Q10	Will employees continue to accrue leave if they are furloughed?

Furloughed employees are in a nonpay status. Nonpay status refers to a period during which an employee is absent from his or her tour of duty and receives no pay for such absence. The accumulation of nonpay status hours during a leave year affects the accrual of annual/sick leave over a period of time. If furloughed during a biweekly pay period, the leave accrual will generally not be affected for that pay period. However, once a full-time employee accumulates a total of 80 hours of nonpay status (including any leave without pay (LWOP) used during the leave year), the employee will not earn annual or sick leave in the pay period in which the 80 hour accumulation is reached (and will only earn annual or sick leave when s/he returns to pay status). Can employees request restoration of scheduled "use or lose" annual leave lost during a shutdown? During a lapse in appropriations, all paid leave or other paid time off is cancelled for excepted and nonexcepted employees. Under 5 U.S.C. 6304 (d)(1), annual leave in excess of an employee's annual leave ceiling shall be restored if it is lost because of an exigency of the public business when the leave was scheduled before the start of the third bi-weekly pay period prior to the end of the leave year (in this case, before November 24, 2018). On January 9, 2019, the Office of Personnel Management issued a memorandum stating that a lapse in appropriations qualifies as an exigency of the public business for the purposes of annual leave restoration and that leave properly scheduled in advance which was forfeited because of the lapse in appropriations must be restored. This restoration requirement applies regardless of whether the affected employees were furloughed or excepted from the furlough. In order to have leave restored, employees must submit a request. Additional guidance on making a request will be provided once the shutdown ends. Previously restored annual leave that was due to expire at the end of the 2018 leave year and was subsequently forfeited may not be restored again – even if the forfeiture was due to the lapse of appropriations. **EMPLOYEE STATUS** How are Fiscal Service employees affected by a shutdown caused by a lapse in appropriation? The impact of a lapse in appropriation varies depending on an employee's funding source and the work he/she performs. Fiscal Service employees fall into one of three categories. **Exempt:**

Exempt employees are funded from moneys that are not annually appropriated. This category includes employees who are funded by Debt Collection Revenues, Treasury Franchise Fund, or by sources that are available by law during a lapse (e.g. alternate appropriation for debt issuances). In addition, certain support functions/employee positions may also be designated as exempt.

During a government shutdown, Exempt employees generally continue to work as usual and are not furloughed. However, for employees funded by Debt Revenues or the Franchise Fund, staffing needs may need to be reassessed based on the services our customers need and our customers' ability to pay. If furloughs are necessary, affected employees will receive direct communication providing further instructions.

Excepted:

Excepted employees are paid from an annual Salaries & Expenses (S&E) appropriation; however, they have been determined to be necessary to continue critical work that, by law, may be performed during a lapse in appropriations.

While working, Excepted employees must perform excepted functions. As such, employees in such positions cannot take leave or attend training, and may be removed from AWS during the shutdown period.

Non-excepted:

Non-excepted employees are paid from an annual Salaries and Expenses (S&E) appropriation, but are not performing work that must continue during a lapse in appropriations. Employees in this group are placed on furlough during a shutdown. Non-excepted employees may not access government equipment or perform any work during a shutdown.

Note: During a furlough situation, agencies may change the status of individual employees as additional needs arise. These changes will be communicated to affected employees if, and when, necessary.

The Office of Personnel Management and other entities have a variety of information on Government shutdowns and furloughs posted online at https://www.opm.gov/policy-data-oversight/pay-leave/furlough-guidance/#url=Shutdown-Furlough

GENERAL INFORMATION

Q1 May employees take other jobs while on furlough?

A1	In most cases, yes, employees may take other jobs while furloughed, although some jobs would not be
	appropriate. Even while on furlough, an individual is an employee of the Federal Government. Therefore, the
	standards of ethical conduct at 5 CFR Part 2635, which include rules on outside employment, continue to apply.
	Additionally, there are statutes which prohibit certain outside activities.
Q2	May a furloughed (Non-excepted) employee volunteer to do his or her job on a nonpay basis?
A2	No. Unless otherwise authorized by law, an agency may not accept the voluntary services of an individual.
Q3	How will furloughed employees be notified of when to report back to work?
A3	Furloughed employees are normally expected to return to work on the next work day or shift that begins
	immediately after the end of a shutdown. Employees should monitor OPM's website for the most current
	information on the status of the shutdown. Employees may also call the Fiscal Service information line at 1-
	877-273-1919 to find out when they should return to work. Public broadcasts on the national media will also
	likely report the end of any shutdown.
Q4	I have bills to pay/need to make rent/etc. Will Fiscal Service and/or my financial institution do anything for me
	during a shutdown?
A4	• Fiscal Service cannot control the rules governing a suspension of operations, nor can we control the length of the shutdown. In most cases, it is up to the individual to have a discussion with their creditor related to their ability to make a payment. Providing the Notice to Creditors that was supplied to all non-excepted and excepted employees is also an option.
	 Several financial institutions have announced they will be making special accommodations for federal employees who have been furloughed or working without pay during the shutdown. Employees should contact their financial institutions directly to learn about these resources.
	• In a press release issued January 11, 2019, five federal financial institutions regulators and state regulators encouraged financial institutions to work with consumers affected by the federal government shutdown. The release stated: "While the effects of the federal government shutdown on individuals should be temporary, affected borrowers may face a temporary hardship in making payments on debts such as mortgages, student loans, car loans, business loans, or credit cards. As they have in prior shutdowns, the agencies encourage financial institutions to consider prudent efforts to modify terms on
	existing loans or extend new credit to help affected borrowers. Prudent workout arrangements that are
	consistent with safe-and-sound lending practices are generally in the long-term best interest of the
	financial institution, the borrower, and the economy. Such efforts should not be subject to examiner

criticism. Consumers affected by the government shutdown are encouraged to contact their lenders immediately should they encounter financial strain." Federal Employees may be able to benefit from assistance offered by The Federal Employee Education and Assistance Fund which offers one-time \$1,200 loans to federal employees facing financial hardship who need help paying basic living expenses. Furloughed federal employees must wait until they receive their first "short" paycheck before applying for the loan https://feea.org/our-programs/emergency-loans/ The Employee Assistance Program will also provide financial management counseling at no cost to any employees who are concerned about their finances during the suspension of operations. Services are available 24 hours a day, 7 days a week. Contact 1-800-222-0364, or 1-888-262-7848 (TTY), or visit the website at www.FOH4You.com Can Excepted employees be placed in furlough status? Excepted employees are required to be at work performing excepted activities that must continue to be performed despite the shutdown. Excepted employees who are not able to work (e.g., illness, jury duty, etc.) must be placed in a furlough status (i.e., non-duty, non-pay) until they are able to return to duty. In addition, during a furlough situation, agencies may change the status of individual employees as additional business needs arise. Such changes would be communicated to affected employees if and when necessary. For example, a non-excepted employee could be called in to perform excepted work for a defined period of time. Once the excepted work is completed, the employee would be placed back in a furlough status. Can new employees be on-boarded during the shutdown? Q6 The feasibility of on-boarding a new hire during the shutdown will have to be determined on a case-by-case A6 basis. A variety of factors should be considered: such as the status of the Excepted or Exempt position being filled which could change during the shutdown period; some onboarding functions may not be available to complete the hiring process, etc. What happens if an employee plans to retire during a shutdown? While the retirement and subsequent annuity will be delayed, the agency will complete all applicable retirement paperwork when the shutdown ends. This will not however impact the effective date of the employee's requested retirement date.

	During a shutdown, an employee's lump sum annual leave payment and his/her ability to withdraw TSP funds may be delayed.
	If an employee's separation date occurs during the shutdown, the employee would be subject to the same rules surrounding his/her furlough category (exempt, excepted, non-excepted) as other employees until he/she separates.
Q8	Will the shutdown affect my high 3 average pay calculation?
A8	If a shutdown furlough occurs during the 3 years of service prior to an employee's retirement, generally there will be no effect on the high-3 average pay unless the furlough causes the employee to be in a non-pay status for more than 6 months during the calendar year. Website: http://www.opm.gov/retirement-services/
Q9	What happens if an employee was scheduled to separate with a buyout during the shutdown?
A9	Although the employee will still receive the buyout, the payment may be delayed.
Q10	What happens if an employee separates (other than through retirement) from Fiscal Service during a shutdown?
A10	The effective date of the separation action is unaffected by the shutdown. If an employee is receiving a lump
7110	sum annual leave payment or other payments as a result of his/her separation, however, it may be delayed.
Q11	What happens to employees on a detail during a shutdown?
A11	Detailed employees remain officially assigned to their permanent positions during the detail. During a
	shutdown furlough, each agency will determine the status of their employees on detail within the agency or to
	another agency. Home and receiving agencies should carefully consult about what activities are appropriate for
	a detailed employee to perform during a funding lapse to ensure that the activities are consistent with the
	reasons why the agency designated them as "excepted."
Q12	May employees with an approved telework agreement be permitted to continue teleworking during a shutdown?
A12	Employees who would normally be at work during a shutdown situation may continue to telework in
	accordance with their telework agreement. As always, management may require employees to report to the
	office based on work requirements and office coverage needs.
	Furloughed employees are NOT permitted to use their laptops, cellphones or telework.
	BENEFITS
Q1	Are employees entitled to unemployment compensation while on furlough?
A1	Furloughed employees may be eligible for unemployment compensation. The eligibility requirements vary
	from state to state. Typically, unemployment compensation is available to individuals who have been in a non-

pay status for seven or more consecutive days and meet other eligibility requirements. It is suggested that employees considering filing for unemployment compensation check requirements of the State in which their last official duty station in Federal civilian service was located.

Note: Several state offices that handle unemployment insurance, including in Maryland, Virginia, Pennsylvania and Washington, D.C., have posted notices about how Federal workers can collect unemployment benefits. Virginia, Maryland and D.C. have agreed to waive the work search requirement for furloughed employees seeking unemployment insurance benefits due to the shutdown.

Employees should be aware that in the event that a law requiring back pay for furloughed employees is enacted, there may be an obligation to return unemployment compensation benefits to their respective State.

More information is available at the Department of Labor's website "Unemployment Compensation for Federal Employees" at http://workforcesecurity.doleta.gov/unemploy/unemcomp.asp.

- Q2 How will furloughed and excepted employees' TSP accounts be impacted by the shutdown?
- A2 The TSP will continue its normal daily operations during the federal government shutdown. Read the TSP fact sheet "Effect of Nonpay Status on Your TSP Account" (available at www.tsp.gov) to get answers to questions about the shutdown's impact on your TSP contributions, loans, and withdrawals.

Contributions to TSP come from payroll deductions, meaning employees in a non-pay status (excepted and non-excepted employees) cannot make payments into their TSP plans. Agencies are also prohibited from matching contributions to these employees during the shutdown. If non-excepted employees are paid retroactively for the time covered by a shutdown or furlough, regular employee contributions and appropriate agency matching contributions will be withheld and submitted. Excepted employees will be paid back pay once a new appropriation or continuing resolution is enacted; therefore, their personal and matching agency contributions will be deducted and paid to TSP retroactively.

- Q3 Can employees take a TSP loan while furloughed?
- A3 Federal Employees who are furloughed for at least one but no more than 30 days are eligible for a TSP loan. If you expect to be furloughed on a periodic basis (for example, one day per pay period), you can take a TSP loan but will be responsible for keeping your loan payments up-to-date if you don't earn enough per pay period for

	your agency to make each required loan payment. When you sign your TSP Loan Agreement, you promise to repay your loan and authorize the TSP to deduct your loan payments from your pay.
	The first payment is due on or before the 60th day following the loan issue date. To apply for a loan please visit the TSP website (https://www.tsp.gov). To obtain more information about eligibility for a TSP loan please visit: https://www.tsp.gov/PDF/formspubs/oc95-4.pdf .
Q4	Will an employee continue to be covered under the Federal Employees Health Benefits (FEHB) program during a shutdown furlough if the agency is unable to make its premium payments on time?
A4	Yes. The employee's FEHB coverage will continue even if an agency does not make the premium payments on time. Since the employee will be in a non-pay status, the enrollee share of the FEHB premium will accumulate and be withheld from pay upon return to pay status. Website: http://www.opm.gov/healthcare-insurance/healthcare/ .
Q5	What happens to an employee's Federal Employees' Group Life Insurance (FEGLI) Program coverage if he/she is in a non-pay status (e.g., furloughed)?
A5	Coverage continues for 12 consecutive months in a nonpay status without cost to the employee or to the agency. Neither the employee nor the agency incurs a debt during this period of nonpay.
Q6	What happens to an employee's Flexible Spending Account (FSAFEDS) coverage if he/she is in a non-pay status? Website: http://www.opm.gov/healthcare-insurance/life-insurance/
A6	Payroll deductions will cease for any employee that does not receive pay. The employee remains enrolled in FSAFEDS, but eligible health care claims incurred during a non-pay status will not be reimbursed until the employee returns to a pay status and allotments are successfully restarted. The remaining allotments are recalculated over the remaining pay periods to match the participant's election amount. Website: www.fsafeds.com .
	Eligible dependent care expenses incurred during a non-pay status may be reimbursed up to whatever balance is in the employee's dependent care account—as long as the expense incurred during the non-pay status allows the employee (or spouse if married) to work, look for work or attend school full-time.
Q7	What happens to an employee's Federal Long Term Care Insurance Program (FLTCIP) coverage if he/she is in a non-pay status?

A7	Payroll deductions will cease for any employee that does not receive pay. Coverage will continue so long as
	premiums are paid. If Long Term Care Partners does not receive payment for three consecutive pay periods,
	they will begin to direct bill the enrollee. The enrollee should pay premiums directly billed to him/her on a
	timely basis to ensure continuation of coverage. Website: www.ltcfeds.com/ .
Q8	What happens to an employee's Federal Employees Dental and Vision Insurance Program (FEDVIP) coverage
	if he/she is in a non-pay status?
A8	Payroll deductions will cease for any employee that does not receive pay. BENEFEDS will generate a bill to
	enrollees for premiums when no payment is received for two consecutive pay periods. The enrollee should pay
	premiums directly billed to him/her on a timely basis to ensure continuation of coverage. Website:
	www.benefeds.com.
Q 9	May employees use the Employee Assistance Program (EAP) during a shutdown?
A9	The Employee Assistance Program (EAP) is expected to continue to operate during a furlough and employees
	may benefit from counseling assistance during this difficult time. In addition to financial counseling which may
	be offered by EAP programs, employees may want to contact their financial institution, TSP, or credit union to
	learn about additional options for financial assistance. EAP services are available 24 hours a day, 7 days a
	week. Contact 1-800-222-0364, or 1-888-262-7848 (TTY), or visit the website at <u>www.FOH4You.com</u> .
Q10	How is Continuation of Pay under the Federal Employees Compensation Act affected by a shutdown?
A10	The Department of Labor's Office of Workers' Compensation Programs which administers the Federal
	Employees' Compensation Act (FECA) advises that, in the event of a Government shutdown, an employee who
	is disabled due to injury is to be maintained in Continuation of Pay (COP) status during the shutdown unless the
	agency does not have monies available to pay the salary of that employee. If the agency does not have monies
	to pay salary during the shutdown but the agency's budget is subsequently restored in such a way as to allow
	for retroactive payment of salary during the shutdown period, the employee should receive COP for any period
	of disability that occurs within the shutdown. In the event an agency is legally unable to pay COP to an
	employee because of a lapse in appropriations, the employee may file a claim for regular FECA wage loss
	compensation for that period. Website: http://www.dol.gov/owcp/ .
	RESOURCES FOR ADDITIONAL INFORMATION
Q1	Who can provide additional information or answer questions about a shutdown situation?
A1	For more information, please call one of the following:
	General information e.g. telework or hiring: Workforce Policy and Programs Branch, 304-480-8949
	or HRPolicy@fiscal.treasury.gov

FISCAL SERVICE LAPSE Q & A'S

As of: January 14, 2019

Pay, leave, work schedules: Payroll@fiscal.treasury.gov

Employee benefits: Employee Benefits Branch, 304-480-8275

or benefits@fiscal.treasury.gov

Unemployment compensation: Workforce Relations Branch, 304-480-8329

Employees can also visit OPM's website for additional information related to the shutdown.

https://www.opm.gov/policy-data-oversight/pay-leave/furlough-guidance/#url=Shutdown-Furlough